Numerical relativity: The role of black holes in gravitational wave physics, astrophysics and high-energy physics

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- Introduction, Numerical relativity
- BHs in GW physics
- BHs in astrophysics
- High-energy collisions of BHs
- BH holography
- Fundamental properties of BHs

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1. Introduction, motivation

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Evidence for astrophysical black holes

- X-ray binaries
 e. g. Cygnus X-1 (1964)
 MS star + compact star
 ⇒ Stellar Mass BHs
 ~ 5...50 M_☉
- Stellar dynamics near galactic centers, iron emission line profiles
 ⇒ Supermassive BHs
 ~ 10⁶ ... 10⁹ M_☉
 AGN engines



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Conjectured BHs

- Intermediate mass BHs $\sim 10^2 \dots 10^5 \ M_{\odot}$
- Primordial BHs
 - $\leq M_{Earth}$
- Mini BHs, LHC ~ *TeV*



Note: BH solution is scale invariant!

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Research areas: Black holes have come a long way!

Astrophysics



Gauge-gravity duality



Fundamental studies



GW physics



High-energy physics



Fluid analogies



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How to get the metric?



Train cemetery Uyuni, Bolivia

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• Solve for the metric $g_{\alpha\beta}$

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Solving Einstein's equations: Different methods

- Analytic solutions
 - Symmetry assumptions
 - Schwarzschild, Kerr, FLRW, Myers-Perry, Emparan-Reall,...
- Perturbation theory
 - Assume solution is close to known solution $g_{lphaeta}$
 - Expand $\hat{g}_{\alpha\beta} = g_{\alpha\beta} + \epsilon h^{(1)}_{\alpha\beta} + \epsilon^2 h^{(2)}_{\alpha\beta} + \dots \Rightarrow$ linear system
 - Regge-Wheeler-Zerilli-Moncrief, Teukolsky, QNMs, EOB,...
- Post-Newtonian Theory
 - Assume small velocities \Rightarrow expansion in $\frac{v}{c}$
 - Nth order expressions for GWs, momenta, orbits,...
 - Blanchet, Buonanno, Damour, Kidder, Will,...
- Numerical Relativity

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A list of tasks

- Target: Predict time evolution of BBH in GR
- Einstein equations: 1) Cast as evolution system
 - 2) Choose specific formulation
 - 3) Discretize for computer
- Choose coordinate conditions: Gauge
- Fix technical aspects: 1) Mesh refinement / spectral domains

2) Singularity handling / excision

3) Parallelization

- Construct realistic initial data
- Start evolution...
- Extract physics from the data



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A brief history of BH simulations

- Pioneers: Hahn & Lindquist '60s, Eppley, Smarr et al. '70s
- Grand Challenge: First 3D Code Anninos et al. '90s
- Further attempts: Bona & Massó, Pitt-PSU-Texas AEI-Potsdam, Alcubierre *et al.* PSU: first orbit Brügmann *et al.* '04 Codes unstable!

 Breakthrough: Pretorius '05 UTB, Goddard'05 GHG Moving Punctures

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 \bullet ~10 codes world wide

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Formulations

- Formulations mostly used: GHG, BSSN
- Combine advantages from both through conformal Z4 formulation
 - Z4 system Bona et al, PRD 67 104005, PRD 69 104003
 - Conformal decomposition ⇒ Z4c, CCZ4 Alic et al, PRD 85 064040, Cao et al, PRD 85 124032
 Hilditch et al, arXiv:1212.2901 Weyhausen et al, PRD 85 024038
- Advantages: constraint damping, constraint preserving BCs



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2. BHs in GW physics

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Gravitational wave detectors

- Accelerated masses \Rightarrow GWs
- Weak interaction!
- Laser interferometric detectors





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The gravitational wave spectrum



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Free parameters of BH binaries

• Total mass M

Relevant for GW detection: Frequencies scale with *M* Not relevant for source modeling: trivial rescaling

• Mass ratio
$$q\equiv \frac{M_1}{M_2}, \qquad \eta\equiv \frac{M_1M_2}{(M_1+M_2)^2}$$

• Spin:
$$\vec{S}_1$$
, \vec{S}_2 (6 parameters)

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BBH trajectory and waveform

q = 4, non-spinning binary; ~ 11 orbits
 US et al, CQG 28 134004

Trajectory

Quadrupole mode



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Template construction

- Stitch together PN and NR waveforms
- EOB or phenomenological templates for \geq 7-dim. par. space





Template construction

- Phenomenological waveform models
 - $\bullet\,$ Model phase, amplitude with simple functions \rightarrow Model parameters
 - Create map between physical and model parameters
 - Time or frequency domain

Ajith et al, CQG **24** S689, PRD **77** 104017, CQG **25** 114033, PRL **106** 241101; Santamaria et al, PRD **82** 064016, Sturani et al, arXiv:1012.5172 [gr-qc]

Effective-one-body (EOB) models

- Particle in effective metric, PN, ringdown model Buonanno & Damour PRD 59 084006, PRD 62 064015
- Resum PN, calibrate pseudo PN parameters using NR Buonanno et al, PRD 77 026004, Pan et al, PRD 81 084041, PRD 84 124052; Damour et al, PRD 77 084017, PRD 78 044039, PRD 83 024006

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https://www.ninja-project.org/

Aylott et al, CQG 26 165008, CQG 26 114008

Ajith et al, CQG 29 124001

- Use PN/NR hybrid waveforms in GW data analysis
- Ninja2: 56 hybrid waveforms from 8 NR groups
- Details on hybridization procedures
- Overlap and mass bias study:
 - Take one waveform as signal, fixing M_{tot}
 - Search with other waveform (same config.) varying t_0 , ϕ_0 , M_{tot}

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The Ninja project



• Left: q = 2, non-spinning waveforms, MAYAKRANC, BAM + T4

• Right: q = 1, $\chi_1 = \chi_2 = 0.4$ waveform, MAYAKRANC, LLAMA + T4

Mass bias < 0.5 %

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The NRAR project

https://www.ninja-project.org/doku.php?id=nrar:home

Hinder, Buonanno et al, under LSC review

- Pool efforts from 9 NR groups
- 11M core hours on XSEDE Kraken
- 22 + 3 waveforms, including precessing runs
- Standardize analysis, comparison with analytic models



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The NRAR project



- Unfaithfulness $\bar{\mathcal{F}} = 1 \text{best overlap varying } t_0, \phi_0$
- $\bar{\mathcal{F}}$ between SEOBNRv1 and NR waveforms

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Tools of mass production

• SpEC catalog: 171 waveforms: $q \le 8$, 90 precessing, ≤ 34 orbits Mroué et al, arXiv:1304.6077 [gr-qc] \rightarrow Talk H.Pfeiffer



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Strategies in parameter space

- SpEC: 16 orbits in 40 hours
- Still, 7-dimensional parameter space $\rightarrow N \sim 10^7$ waveforms?
- Probably too many... Accuracy needed... → Talk S.Husa
- Reduce # of parameters describing dominant spin effects
 Ajith et al, PRL 106 241101, PRD 84 084037,
 Pürrer et al, arXiv:1306.2320 [gr-gc] → Talk M.Pürrer
- Spin-robit resonances ⇒ preferred regions in parameter space?
 Gerosa et al, arXiv:1302.4442 [gr-qc]
- Trade-off: Quantity or quality of waveforms? Both affects parameter estimation!

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Limits in the parameter space

• Mass ratio q = 100

Lousto & Zlochower, PRL 106 041101

Head-on case: US et al, PRD 84 084038

- Spin magnitude $\chi = 0.97$ Superposed Kerr-Schild data (non-conformally flat) Lovelace et al, CQG **29** 045003 \rightarrow Talk G.Lovelace
- Separations D = 100 M; few orbits

Lousto & Zlochower, arXiv:1304.3937 [gr-qc]

Going beyond GR: Scalar-tensor theory of gravity

- Brans-Dicke theory: 1 parameter ω_{BD}; well constrained
- Bergmann-Wagoner theories: Generalize $\omega = \omega(\phi), V = V(\phi)$
- No-hair theorem: BHs solutions same as in GR e.g. Hawking, Comm.Math.Phys. 25 167 Sotiriou & Faraoni, PRL 108 081103
- Circumvent no-hair theorem: Scalar bubble Healey et al, arXiv:1112.3928 [gr-qc]
- Circumvent no-hair theorem: Scalar gradient Horbatsch & Burgess, JCAP **1205** 010, Berti et al, arXiv:1304.2836 [gr-qc]
 - → Talk L.Gualtieri



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3. BHs in Astrophysics

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Gravitational recoil

- Anisotropic GW emission ⇒ recoil of remnant BH Bonnor & Rotenburg, Proc.Roy.Soc. 265 109 Peres, PR 128 2471, Bekenstein, ApJ 183 657
- Escape velocities: Globular clusters 30 km/s
 dSph 20 100 km/s
 dE 100 300 km/s
 Giant galaxies ~ 1000 km/s

Ejection / displacement of BH \Rightarrow

- Growth history of SMBHs
- BH populations, IMBHs
- Structure of galaxies



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Spinning BHs: Superkicks

• Superkick configuration:



• Kicks up to $v_{max} \approx 4\,000 \text{ km/s}$ Campanelli *et al.*, PRL **98** 231102

González et al. PRL 98 231101

 Suppression via spin alignment and Resonance effects in inspiral Schnittman, PRD 70 124020
 Bogdanovicź et al, ApJ 661 L147
 Kesden et al, PRD 81 084054, ApJ 715 1006

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Even larger kicks: superkick and hang-up

Lousto & Zlochower, PRL 107 231102

Superkicks

- Moderate GW generation
- Large kicks



Strong GW generationNo kicks



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Superkicks and orbital hang-up



- Maximum kick about 25 % larger: $v_{max} \approx 5000 \text{ km/s}$
- Distribution asymmetric in θ ; v_{max} for partial alignment
- Supression through resonances still works
 Berti et al, PRD 85 124049

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EM counterparts generated by binary BHs

- EM signatures through shocks, accretion, rel. beaming Bode et al, ApJ **715** 1117, ApJ **744** 45 Bogdanovic et al, CQG **28** 094020
- Accretion, luminosity enhanced relative to single BH of same *M* Farris et al, PRD **81** 084008
- Circumbinary disks may not produce detectable EM counterparts Bode et al, ApJ 744 45 Moesta et al, PRD 81 064017 Alic et al, ApJ 754 36
- Blandford-Znajek like effect due to magnetic field generated by disk could be observable
 Palenzuela et al

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EM counterparts generated by binary BHs

Palenzuela et al, PRL **103** 081101, Science **329** 927 PRD **81** 084007, PRD **82**, 044045

- Non-spinning BH binary
- Einstein-Maxwell equtions with "force free" plasma
- Electromagnetic field extracts energy from $\textbf{L} \Rightarrow jets$
- Optical signature: double jets



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4. High-energy BH collisions

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The Hierarchy Problem of Physics

- Gravity $\approx 10^{-39} \times$ other forces
- Higgs field $\approx \mu_{obs} \approx 250 \text{ GeV} = \sqrt{\mu^2 \Lambda^2}$ where $\Lambda \approx 10^{16} \text{ GeV}$ is the grand unification energy
- Requires enormous finetuning!!!
- Finetuning exist: <u>987654321</u> = 8.0000000729
- Or *E_{Planck}* much lower? Gravity strong at small *r*?
 ⇒ BH formation in high-energy collisions at LHC
- Gravity not measured below 0.16 mm! Diluted due to...
 - Large extra dimensions Arkani-Hamed, Dimopoulos & Dvali '98
 - Extra dimension with warp factor Randall & Sundrum '99

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Stages of BH formation

Black Holes on Demand

Scientists are exploring the possibility of producing miniature black holes on demand by smashing particles together. Their plans hinge on the theory that the universe contains more than the three dimensions of everyday life. Here's the idea:



As the particles approach in a particle accelerator, their gravitational attraction increases steadily. When the particles are extremely close, they may enter space with more dimensions, shown above as a cube. The extra dimensions would allow gravity to increase more rapidly so a black hole can form.

Such a black hole would immediately evaporate, sending out a unique pattern of radiation.

Matter does not matter at energies well above the Planck scale
 ⇒ Model particle collisions by black-hole collisions
 Banks & Fischler, gr-qc/9906038; Giddings & Thomas, PRD 65 056010

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Experimental signature at the LHC

Black hole formation at the LHC could be detected by the properties of the jets resulting from Hawking radiation. BlackMax, Charybdis

- Multiplicity of partons: Number of jets and leptons
- Large transverse energy
- Black-hole mass and spin are important for this!



ToDo:

- Exact cross section for BH formation
- Determine loss of energy in gravitational waves
- Determine spin of merged black hole

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Does matter "matter"?

- Hoop conjecture ⇒ kinetic energy triggers BH formation
- Einstein plus minimally coupled, massive, complex scalar filed "Boson stars" Pretorius & Choptuik, PRL 104 111101



- BH formation threshold: $\gamma_{thr} = 2.9 \pm 10$ % $\sim 1/3 \gamma_{hoop}$
- Model particle collisions by BH collisions

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Does matter "matter"?

- Perfect fluid "stars" model
- $\gamma = 8...12$; BH formation below Hoop prediction

East & Pretorius, PRL 110 101101

• Gravitational focussing \Rightarrow Formation of individual horizons



- Type-I critical behaviour
- Extrapolation by 60 orders would imply no BH formation at LHC
 Rezzolla & Tanaki, CQG 30 012001
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In Spechalor (DAMTP, University of Cambri Numerical relativity: The role of black holes in gravitational wave physics, astrophysic

D = 4: Initial setup: 1) Aligned spins

- Orbital hang-up Campanelli et al, PRD 74 041501
- 2 BHs: Total rest mass: $M_0 = M_{A, 0} + M_{B, 0}$ Boost: $\gamma = 1/\sqrt{1 - v^2}$, $M = \gamma M_0$
- Impact parameter: $b \equiv \frac{L}{P}$



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D = 4: Initial setup: 2) No spins

- Orbital hang-up Campanelli et al, PRD 74 041501
- 2 BHs: Total rest mass: $M_0 = M_{A, 0} + M_{B, 0}$ Boost: $\gamma = 1/\sqrt{1 - v^2}$, $M = \gamma M_0$
- Impact parameter: $b \equiv \frac{L}{P}$



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D = 4: Initial setup: 3) Anti-aligned spins

- Orbital hang-up Campanelli et al, PRD 74 041501
- 2 BHs: Total rest mass: $M_0 = M_{A, 0} + M_{B, 0}$ Boost: $\gamma = 1/\sqrt{1 - v^2}$, $M = \gamma M_0$
- Impact parameter: $b \equiv \frac{L}{P}$



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D = 4: Head-on: b = 0, $\vec{S} = 0$

 Total radiated energy: 14 ± 3 % for v → 1 US et al, PRL 101 161101

About half of Penrose '74



Agreement with approximative methods
 Flat spectrum, GW multipoles Berti et al, PRD 83 (2011) 084018

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D = 4: Scattering threshold b_{scat} for $\vec{S} = 0$

- $b < b_{scat} \Rightarrow Merger$
 - $b > b_{scat} \Rightarrow Scattering$
- Numerical study: $b_{\text{scat}} = \frac{2.5 \pm 0.05}{v} M$ Shibata et al, PRD **78** 101501(R)
- Independent study US et al, PRL **103** 131102, arXiv:1211.6114 $\gamma = 1.23...2.93$:
 - $\chi = \pm 0.85, \pm 0.6, 0$ (anti-aligned, nonspinning, aligned)
- Limit from Penrose construction: b_{crit} = 1.685 M
 Yoshino & Rychkov, PRD 74 124022

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D = 4: Scattering threshold and radiated energy $\vec{S} \neq 0$



US et al, arXiv:1211.6114

- At speeds $v \gtrsim 0.9$ spin effects washed out
- E_{rad} always below $\lesssim 50 \% M$

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D = 4: Absorption

- For large γ : $E_{kin} \approx M$
- If Ekin is not radiated, where does it go?
- Answer: \sim 50 % into E_{rad} , \sim 50 % is absorbed



US et al, arXiv:1211.6114

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D = 5: Unequal-mass head-on

Dimensional reduction: Zilhão et al, PRD 81 084052

Wave extraction: Kodama & Ishibashi PTP 110 701, Witek et al, PRD 82 104014



Witek et al., PRD 83 044017

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D = 5: Scattering threshold

Modified Cartoon: Yoshino & Shibata, PRD **80** 084025 First boosted collisions in D > 4: Okawa et al, PRD **83** 121501



Numerical stability still an issue...

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5. BH Holography

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The AdS/CFT conjecture

Maldacena, Adv.Theor.Math.Phys. 2 231

• "strong form": Type IIb string theory on $AdS_5 \times S^5$

 $\Leftrightarrow \mathcal{N} = 4$ super Yang-Mills in D = 4

Hard to prove; non-perturbative Type IIb String Theory?

- "weak form": low-energy limit of string-theory side
 - \Rightarrow Type IIb Supergravity on $AdS_5 \times S^5$
- Some assumptions, factor out S⁵
 - \Rightarrow General Relativity on AdS₅
- Corresponds to limit of large N, g²N in the field theory
- E. g. Stationary AdS BH ⇔ Thermal Equil. with T_{Haw} in dual FT
 Witten, Adv.Theor.Math.Phys. 2 253

The boundary in AdS

- Dictionary between metric properties and vacuum expectation values of CFT operators.
 - E. g. $T_{\alpha\beta}$ operator of CFT \leftrightarrow transverse metric on *AdS* boundary.
- The boundary plays an active role in AdS! Metric singular!



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Collision of planar shockwaves in $\mathcal{N} = 4$ SYM

- Heavy-ion collisions (RHIC, LHC) ⇒ Strongly coupled QGP
- Dual to colliding gravitational shock waves in AADS
- Characteristic study with translational invariance
 Chesler & Yaffe PRL 102 211601, PRD 82 026006, PRL 106 021601
- Initial data: 2 superposed shockwaves

$$ds^{2} = r^{2}[-dx_{+}dx_{-} + d\mathbf{x}_{\perp}] + \frac{1}{r^{2}}[dr^{2} + h(x_{\pm})dx_{\pm}^{2}]$$



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Collision of planar shockwaves in $\mathcal{N} = 4$ SYM

- Initially system far from equilibrium
- Thermalization after $\Delta v \sim 4/\mu \sim 0.35~{\it fm/c}$
- Confirms hydro sims. of QGP \sim 1 $\mathit{fm/c}$ Heinz, nucl-th/0407067



- Non-linear vs. linear Einstein Eqs. agree within ~ 20 % Heller et al, PRL 108 (2012) 191601
- Thermalization in ADM formulation Heller et al, PRD 85 (2012) 126002

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Cauchy ("4+1") evolutions in asymptotically AdS

- Characteristic coordinates successful numerical tool in AdS/CFT
- But: restricted to symmetries, caustics problem...
- Cauchy evolution needed for general scenarios? Cf. BBH inspiral!!
- Cauchy scheme based on generalized harmonic formulation Bantilan & Pretorius, PRD 85 (2012) 084038
 - SO(3) symmetry
 - Compactify "bulk radius"
 - Asymptotic symmetry of AdS₅: SO(4,2)
 - Decompose metric into AdS₅ piece and deviation
 - Gauge must preserve asymptotic fall-off

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Cauchy ("4+1") evolutions in asymptotically AdS

- Scalar field collapse
- BH formation and ringdown
- Low order QNMs ~ perturbative studies, but mode coupling
- CFT stress-energy tensor consistent with thermalized
 N = 4 SYM fluid
- Difference of CFT *T*_{θθ} and hydro (+1st, 2nd corrs.)



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Conductivity and holography

Horowitz, Santos & Tong, arXiv:1204.0519, arXiv:1209.1098

- Goal: AC conductivity of cuprates (strange metals)
- Einstein-Maxwell in D = 4 with negative Λ plus scalar field
- Perturbed Reissner-Nordström AdS BH
- Conductivity in frequency space:
 - Drude's result at low $\omega,$ QFT plateau at high ω
 - Intermediate $\sim \omega^{-2/3}$ fall-off; cf. experiment!



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6 Fundamental properties of BHs

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Stability of AdS

- m = 0 scalar field in as. flat spacetimes Choptuik, PRL 70 9 $p > p^* \Rightarrow BH$, $p < p^* \Rightarrow flat$
- m = 0 scalar field in as. AdS Bizoń & Rostworowski, PRL 107 031102
- Similar behaviour for "Geons" Dias et al, CQG 29 194002
- D > 4 dimensions
 Jałmużna et al, PRD 84 085021
- D = 3: Mass gap: smooth solutions
 Bizoń & Jałmużna, arXiv:1306.0317
 - → Talk P.Bizoń



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Stability of AdS

 Pulses narrow under successive reflections Buchel et al, PRD 86 123011



 ■ Non-linearly stable solutions in AdS Dias et al, CQG 29 235019, Buchel et al, arXiv:1304.4166, Maliborski & Rostworowski arXiv:1303.3186

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Ray tracing for Choptuik type collapse



Thanks to Rob Hocking, DAMTP Cambridge

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Bar mode instability of Myers-Perry BH

- MP BHs (with single ang.mom.) should be unstable.
- Linearized analysis Dias et al, PRD 80 111701(R)



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Non-linear analysis of MP instability

Shibata & Yoshino, PRD 81 104035

- Myers-Perry metric; transformed to Puncture like coordinate
- Add small bar-mode perturbation
- Deformation $\eta := \frac{2\sqrt{(l_0 l_{\pi/2})^2 + (l_{\pi/4} l_{3\pi/4})^2}}{l_0 + l_{\pi/2}}$



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Superradiant instability

- Scattering of waves with Re[ω] off BH with ang. horizon velocity Ω_H
 ⇒ amplification ⇔ Re[ω] < mΩ_H
- Measure photon mass? Pani et al, PRL 109 (2012) 131102
- Numerical simulations Dolan, arXiv:1212.1477 Witek et al
- Instability of spinning BHs, Beating effects



Witek et al, PRD 87 (2013) 043513

More \rightarrow Talks H.Okawa, H.Witek

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Cosmic Censorship in D = 5

Pretorius & Lehner, PRL 105 101102

- Axisymmetric code
- Evolution of black string...

 Gregory-Laflamme instability cascades down
 in finite time

in finite time

until string has zero width

 \Rightarrow naked singularity



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Cosmic Censorship in D = 4 de Sitter

Zilhão et al, PRD 85 (2012) 124062

- Two parameters: MH, d
- Initial data: McVittie type binaries McVittie, MNRAS 93 (1933) 325
- "Small BHs": $d < d_{crit} \Rightarrow$ merger

 $d > d_{crit} \Rightarrow$ no common AH

• "Large" holes at small d: Cosmic Censorship holds



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- Nearly 10 years after breakthroughs, codes matured
- GW template bank within reach
- Kicks still getting bigger
- High-energy collisions understood in D = 4, higher $D \rightarrow$ stability
- Applications to AdS/CFT exploding...
- NR reveals new insight into BH stability

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