

Numerical Relativity simulations of black holes: Methodology and Computational Framework

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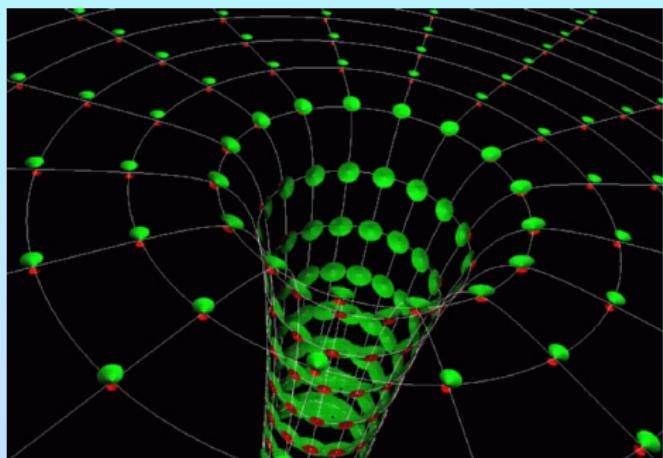
Overview

- Motivation
- Modeling black holes in GR
- Black holes in astrophysics
- High-energy collisions of black holes
- The AdS/CFT correspondence
- Stability, Cosmic Censorship
- Conclusions

1. Motivation

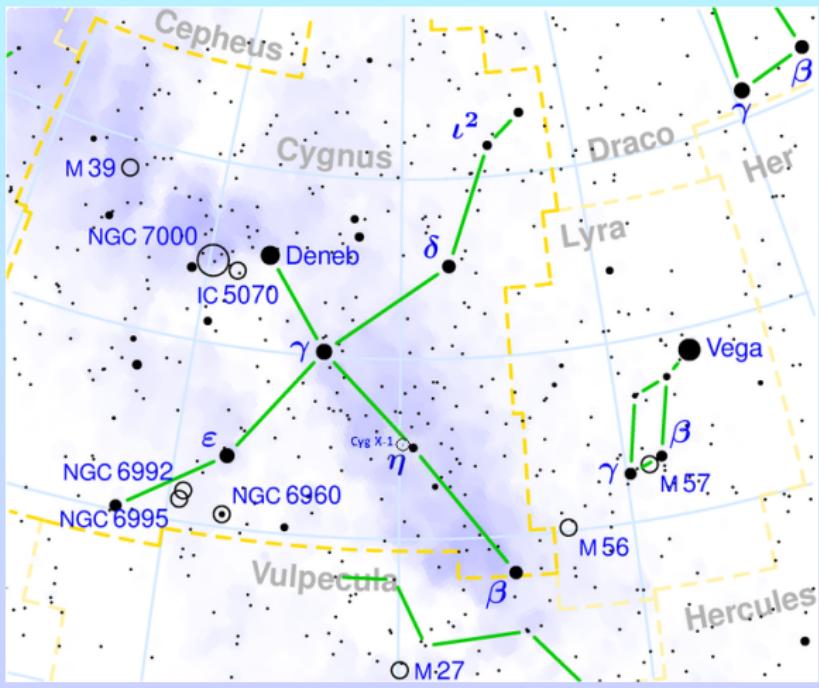
What are black holes?

- Consider Lightcones
- In and outgoing light
- Calculate surface of outgoing light fronts
- Expansion \equiv Rate of change of this surface
- Apparent Horizon \equiv Outermost surface with zero expansion
- “Light cones tip over” due to curvature



Black holes are out there: Stellar BHs

- high-mass X-ray binaries: Cygnus X-1 (1964)



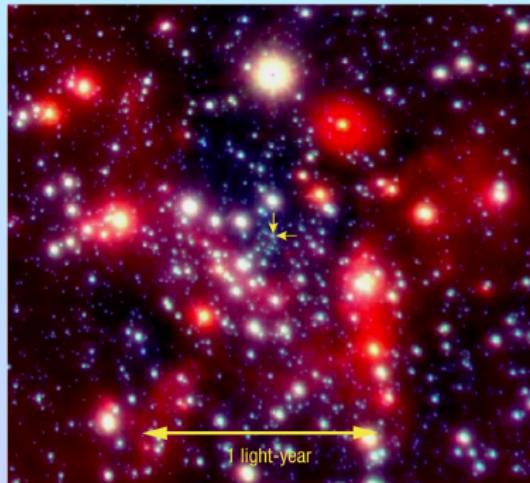
Black holes are out there: Stellar BHs

- One member is very compact and massive \Rightarrow Black Hole



Black holes are out there: galactic BHs

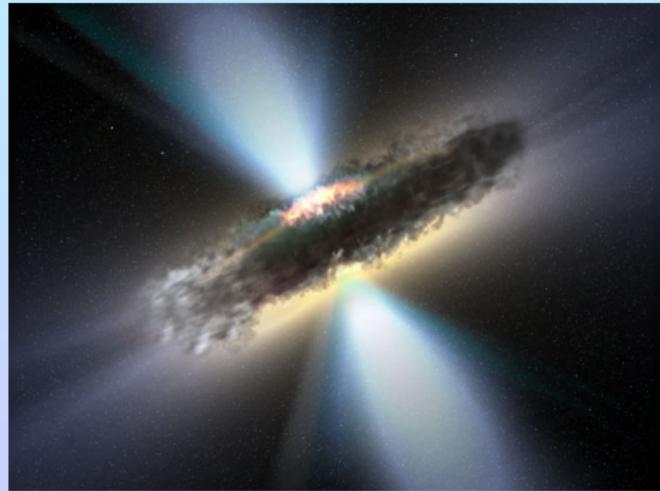
- Supermassive BHs found at center of virtually all galaxies
- SMBHs conjectured to be responsible for quasars starting in the 1980s



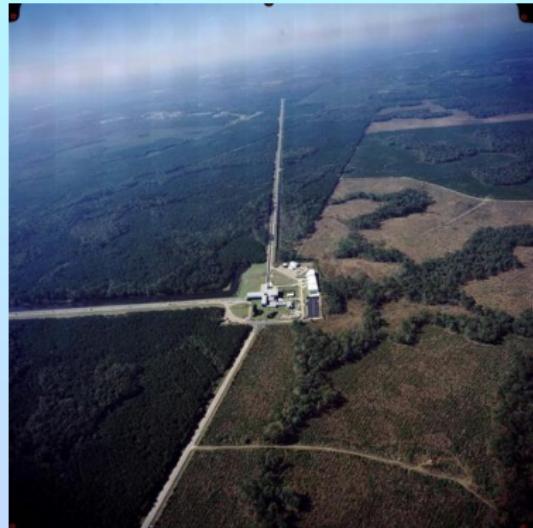
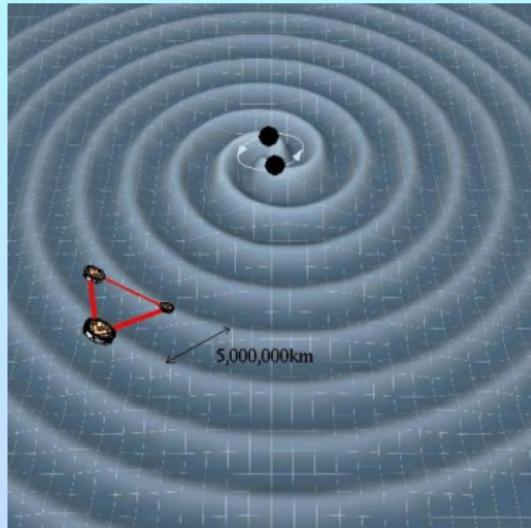
The Centre of the Milky Way
(VLT YEPUN + NACO)

ESO PR Photo 25a/02 (9 October 2002)

© European Southern Observatory



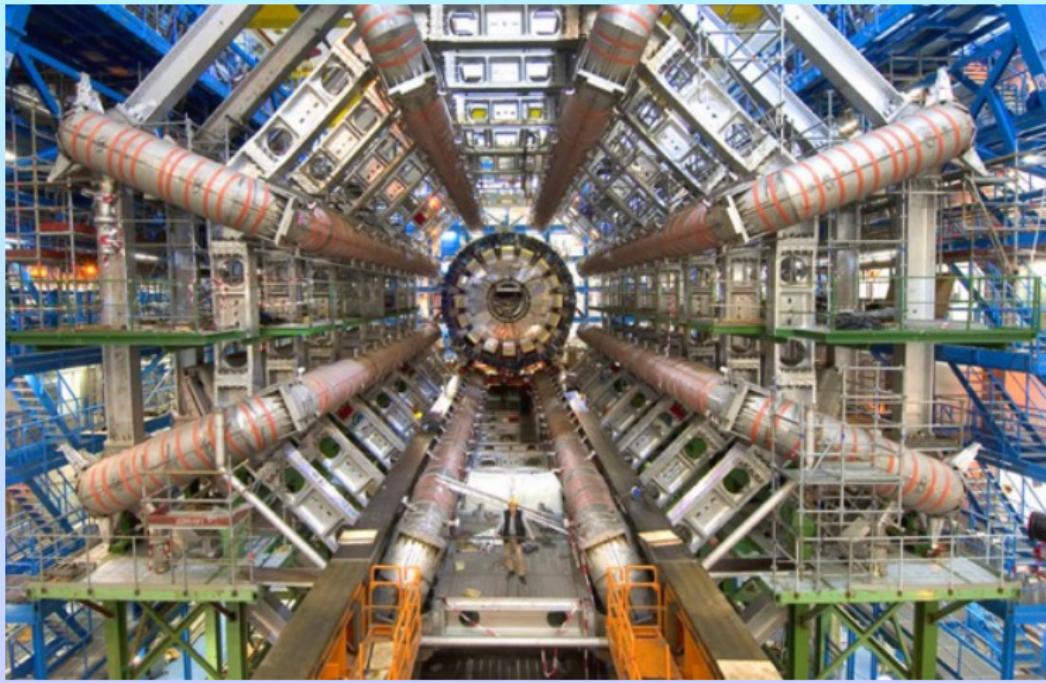
BHs are strong sources of gravitational waves



- BH binaries source of GWs for LIGO, VIRGO, GEO600, “LISA”
- Cross correlate model waveforms with data stream

Black holes might be in here: LHC

- LHC CERN



BH generation in TeV -gravity scenarios

- Extra dimensions can explain hierarchy problem

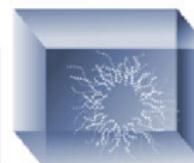
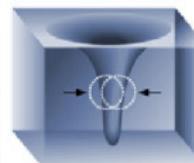
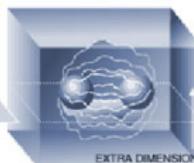
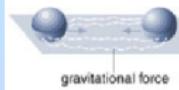
Arkani-Hamed, Dimopoulos & Dvali '98 Randall & Sundrum '98

- Gravity dominant at $\sim TeV \Rightarrow$ BH formation in LHC collisions

Black Holes on Demand

Scientists are exploring the possibility of producing miniature black holes on demand by smashing particles together. Their plans hinge on the theory that the universe contains more than the three dimensions of everyday life. Here's the idea:

Particles collide in three dimensional space, shown below as a flat plane.



As the particles approach in a particle accelerator, their gravitational attraction increases steadily.

When the particles are extremely close, they may enter space with more dimensions, shown above as a cube.

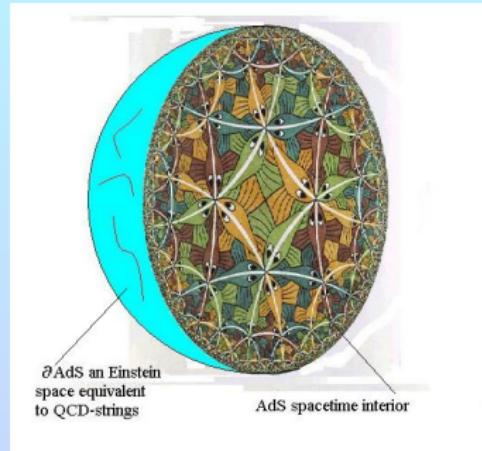
The extra dimensions would allow gravity to increase more rapidly so a black hole can form.

Such a black hole would immediately evaporate, sending out a unique pattern of radiation.

- Signature: # jets, leptons, transverse energy
- TODO: determine Cross section, GW loss, BH spin

AdS/CFT correspondence

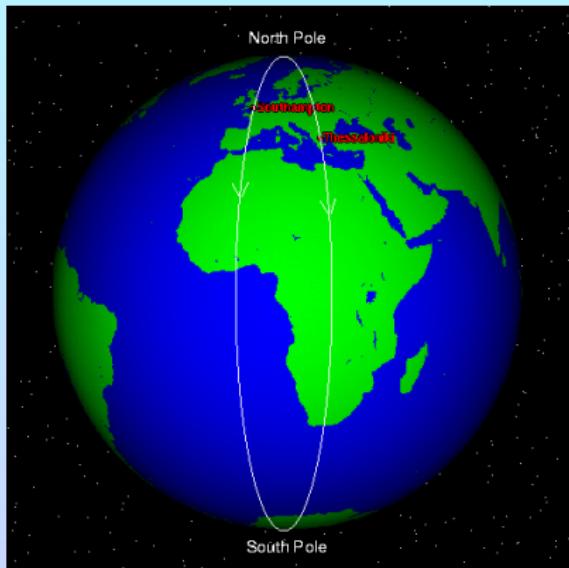
- CFTs in $D = 4$ dual to asymptotically AdS BHs in $D = 5$
- Study cousins of QCD,
e. g. $\mathcal{N} = 4$ SYM
- Applications
 - Quark-gluon plasma;
heavy-ion collisions, RHIC
 - Condensed matter,
superconductors
- Dictionary: Metric fall-off $\leftrightarrow T_{\alpha\beta}$



2. Modeling black holes in GR

General Relativity: Curvature

- Curvature generates acceleration
“geodesic deviation”
No “force”!!
- Description of geometry
 - Metric $g_{\alpha\beta}$
 - Connection $\Gamma^\alpha_{\beta\gamma}$
 - Riemann Tensor $R^\alpha_{\beta\gamma\delta}$



How to get the metric?



Train cemetery
Uyuni, Bolivia

- Solve for the metric $g_{\alpha\beta}$

How to get the metric?

- The metric must obey the Einstein Equations
- Ricci-Tensor, Einstein Tensor, Matter Tensor

$$R_{\alpha\beta} \equiv R^\mu{}_{\alpha\mu\beta}$$

$$G_{\alpha\beta} \equiv R_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}R^\mu{}_\mu \quad \text{"Trace reversed" Ricci}$$

$$T_{\alpha\beta} \quad \text{"Matter"}$$

- Einstein Equations $G_{\alpha\beta} = 8\pi T_{\alpha\beta}$
- Solutions: Easy!
 - Take metric
 - ⇒ Calculate $G_{\alpha\beta}$
 - ⇒ Use that as matter tensor
- Physically meaningful solutions: Difficult! ⇒ Numerics

A list of tasks

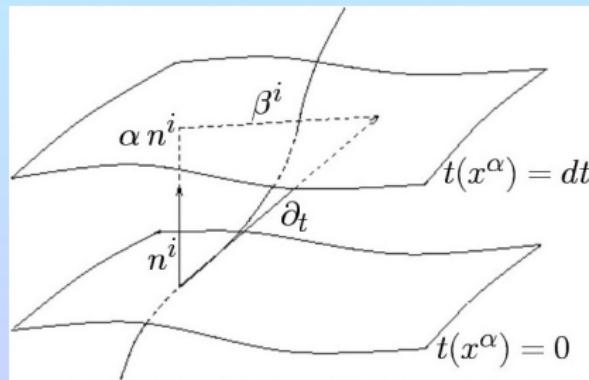
- Target: Predict time evolution of BBH in GR
- Einstein equations: 1) Cast as evolution system
 - 2) Choose specific formulation
 - 3) Discretize for computer
- Choose coordinate conditions: Gauge
- Fix technical aspects: 1) Mesh refinement / spectral domains
 - 2) Singularity handling / excision
 - 3) Parallelization
- Construct realistic initial data
- Start evolution and waaaaiiiit...
- Extract physics from the data

3+1 Decomposition

- GR: “Space and time exist as a unity: Spacetime”
- NR: ADM 3+1 split Arnowitt, Deser & Misner '62
 York '79, Choquet-Bruhat & York '80

$$g_{\alpha\beta} = \left(\begin{array}{c|c} -\alpha^2 + \beta_m \beta^m & \beta_j \\ \hline \beta_i & \gamma_{ij} \end{array} \right)$$

- 3-Metric γ_{ij}
- Lapse α
- Shift β^i
- lapse, shift \Rightarrow Gauge



ADM Equations

The Einstein equations $R_{\alpha\beta} = 0$ become

- 6 Evolution equations

$$(\partial_t - \mathcal{L}_\beta)\gamma_{ij} = -2\alpha K_{ij}$$

$$(\partial_t - \mathcal{L}_\beta)K_{ij} = -D_i D_j \alpha + \alpha [R_{ij} - 2K_{im}K^m{}_j + K_{ij}K]$$

- 4 Constraints

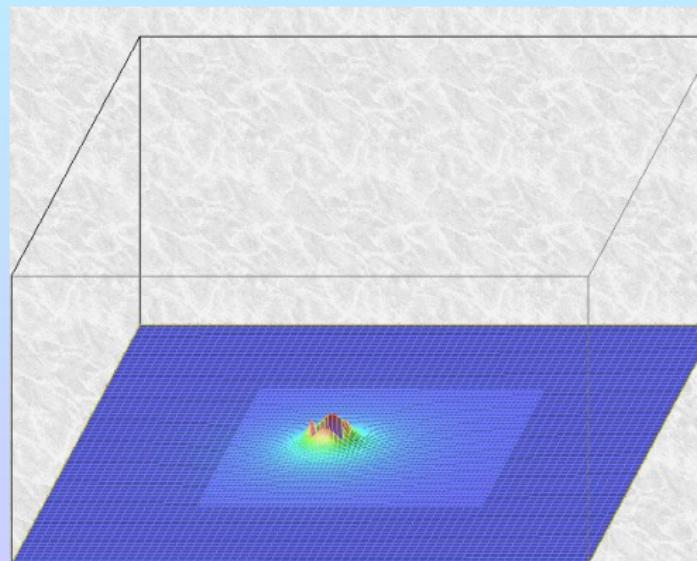
$$R + K^2 - K_{ij}K^{ij} = 0$$

$$-D_j K^{ij} + D^i K = 0$$

preserved under evolution!

- Evolution

- 1) Solve constraints
- 2) Evolve data



Formulations I: BSSN

- One can easily change variables. E. g. wave equation

$$\partial_{tt} u - c \partial_{xx} u = 0 \quad \Leftrightarrow \quad \partial_t F - c \partial_x G = 0$$
$$\partial_x F - \partial_t G = 0$$

- BSSN: rearrange degrees of freedom

$$\chi = (\det \gamma)^{-1/3} \quad \tilde{\gamma}_{ij} = \chi \gamma_{ij}$$
$$K = \gamma_{ij} K^{ij} \quad \tilde{A}_{ij} = \chi (K_{ij} - \frac{1}{3} \gamma_{ij} K)$$
$$\tilde{\Gamma}^i = \tilde{\gamma}^{mn} \tilde{\Gamma}^i_{mn} = -\partial_m \tilde{\gamma}^{im}$$

Shibata & Nakamura '95, Baumgarte & Shapiro '98

- BSSN strongly hyperbolic, but depends on details...

Sarbach *et al.* '02, Gundlach & Martín-García '06

Formulations I: BSSN

$$ds^2 = -\alpha^2 dt^2 + \gamma_{ij} (dx^i + \beta^i dt)(dx^j + \beta^j dt)$$

$$\phi = \frac{1}{12} \ln \gamma \quad \hat{\gamma}_{ij} = e^{-4\phi} \gamma_{ij}$$

$$K = \gamma_{ij} K^{ij} \quad \hat{A}_{ij} = e^{-4\phi} (K_{ij} - \frac{1}{3} \gamma_{ij} K)$$

$$\hat{\Gamma}^i = \gamma^{ij} \hat{\Gamma}_{jk}^i = -\partial_j \hat{\gamma}^{ij}$$

$$(\partial_t - \mathcal{L}_\beta) \hat{\gamma}_{ij} = -2\alpha \hat{A}_{ij}$$

$$(\partial_t - \mathcal{L}_\beta) \phi = -\frac{1}{6} \alpha K$$

$$(\partial_t - \mathcal{L}_\beta) \hat{A}_{ij} = e^{-4\phi} (-D_i D_j \alpha + \alpha R_{ij})^{\text{TF}} + \alpha (K \hat{A}_{ij} - 2 \hat{A}_{ik} \hat{A}^k{}_j)$$

$$(\partial_t - \mathcal{L}_\beta) K = -D^i D_i \alpha + \alpha (\hat{A}_{ij} \hat{A}^{ij} + \frac{1}{3} K^2)$$

$$\partial_t \hat{\Gamma}^i = 2\alpha (\hat{\Gamma}_{jk}^i \hat{A}^{jk} + 6\hat{A}^{ij} \partial_j \phi - \frac{2}{3} \hat{\gamma}^{ij} \partial_j K) - 2\hat{A}^{ij} \partial_j \alpha + \hat{\gamma}^{jk} \partial_j \partial_k \beta^i$$

$$+ \frac{1}{3} \hat{\gamma}^{ij} \partial_j \partial_k \beta^k + \beta^j \partial_j \hat{\Gamma}^i + \frac{2}{3} \hat{\Gamma}^i \partial_j \beta^j \underbrace{- (\chi + \frac{2}{3}) (\hat{\Gamma}^i - \hat{\gamma}^{jk} \hat{\Gamma}_{jk}^i) \partial_l \beta^l}_{\text{Yo et al. (2002)}}$$

Formulations II: Generalized harmonic (GHG)

- Harmonic gauge: choose coordinates such that

$$\nabla_\mu \nabla^\mu x^\alpha = 0$$

- 4-dim. version of Einstein equations

$$R_{\alpha\beta} = -\frac{1}{2}g^{\mu\nu}\partial_\mu\partial_\nu g_{\alpha\beta} + \dots$$

Principal part of wave equation

- Generalized harmonic gauge: $H_\alpha \equiv g_{\alpha\nu}\nabla_\mu\nabla^\mu x^\nu$

$$\Rightarrow R_{\alpha\beta} = -\frac{1}{2}g^{\mu\nu}\partial_\mu\partial_\nu g_{\alpha\beta} + \dots - \frac{1}{2}(\partial_\alpha H_\beta + \partial_\beta H_\alpha)$$

Still principal part of wave equation !!! Manifestly hyperbolic

Friedrich '85, Garfinkle '02, Pretorius '05

- Constraint preservation; constraint satisfying BCs

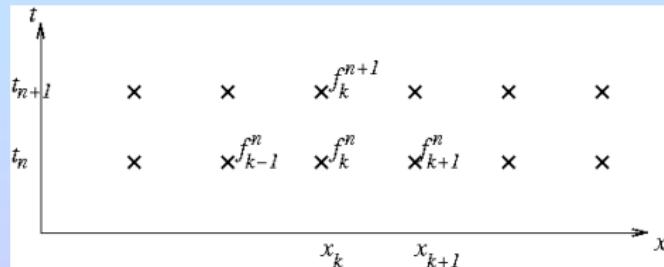
Gundlach et al. '05, Lindblom et al. '06

Discretization of the time evolution

- Finite differencing (FD)

Pretorius, RIT, Goddard, Georgia Tech, LEAN, BAM, UIUC,...

- Spectral Caltech-Cornell-CITA
- Parallelization with MPI, ~ 128 cores, ~ 256 Gb RAM
- Example: advection equation $\partial_t f = \partial_x f$, FD
- Array f_k^n for fixed n



$$f_k^{n+1} = f_k^n + \Delta t \frac{f_{k+1}^n - f_{k-1}^n}{2\Delta x}$$

Initial data

Two problems: Constraints, realistic data

- Rearrange degrees of freedom

York-Lichnerowicz split: $\gamma_{ij} = \psi^4 \tilde{\gamma}_{ij}$

$$K_{ij} = A_{ij} + \frac{1}{3} \gamma_{ij} K$$

York & Lichnerowicz, O'Murchadha & York,

Wilson & Mathews, York

- Make simplifying assumptions

Conformal flatness: $\tilde{\gamma}_{ij} = \delta_{ij}$

- Find good elliptic solvers, e. g. Ansorg et al. '04

Mesh refinement

3 Length scales :	BH	$\sim 1 M$
	Wavelength	$\sim 10\ldots100 M$
	Wave zone	$\sim 100\ldots1000 M$

- Critical phenomena

Choptuik '93

- First used for BBHs

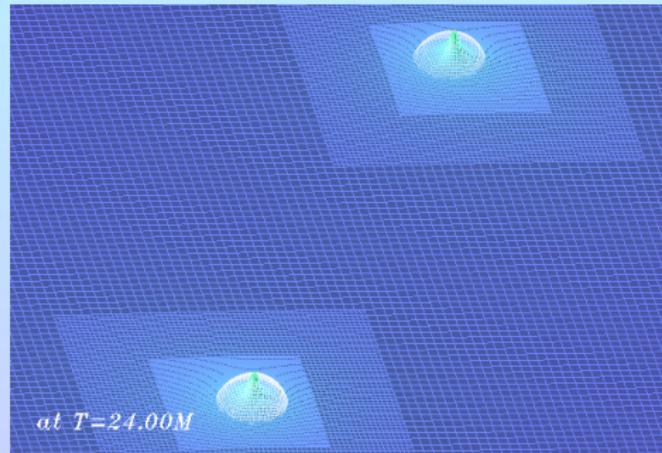
Brügmann '96

- Available Packages:

Paramesh MacNeice *et al.* '00

Carpet Schnetter *et al.* '03

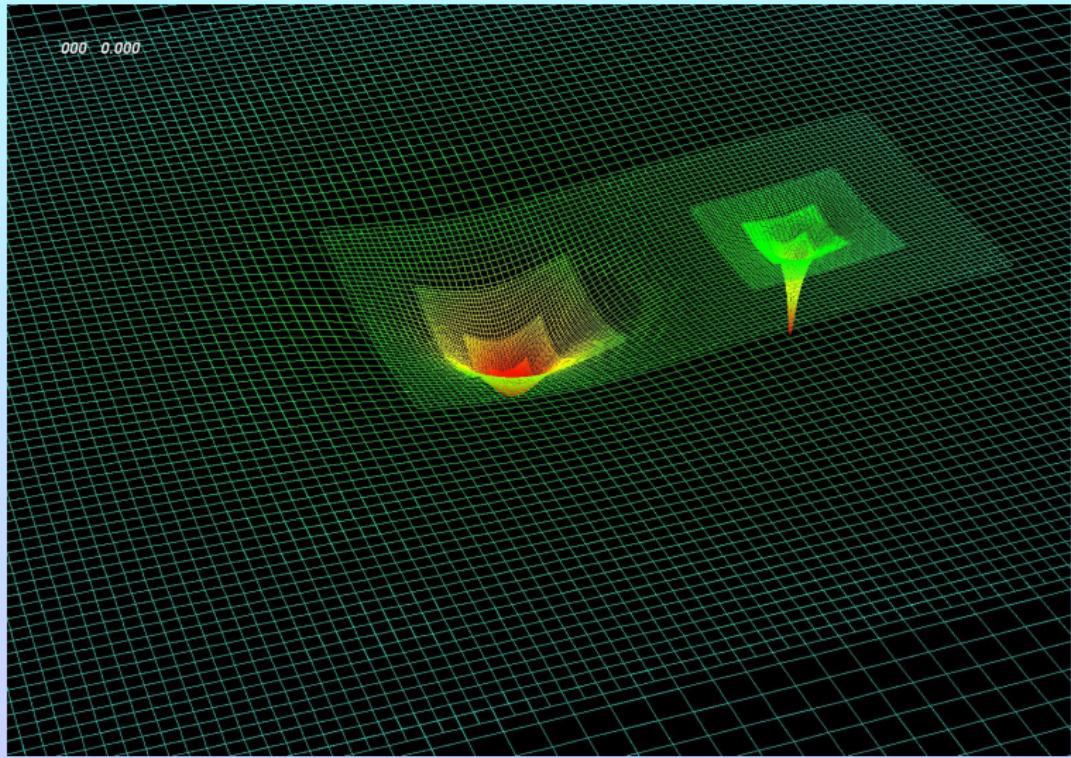
SAMRAI MacNeice *et al.* '00



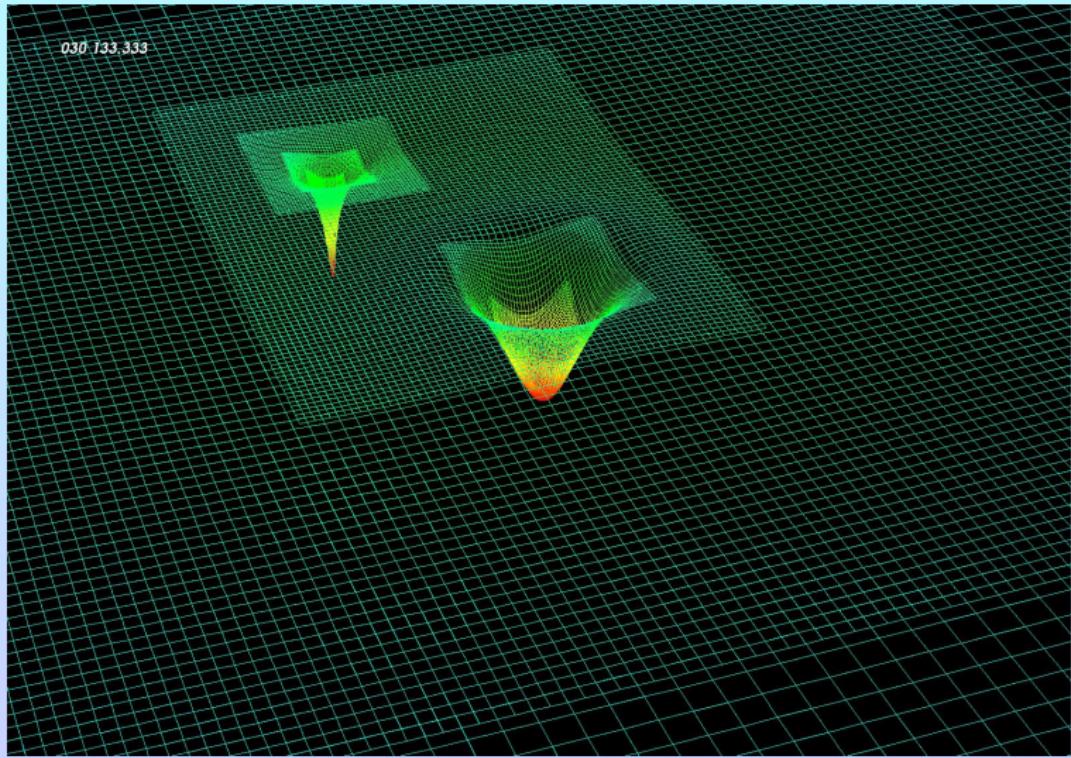
The gauge freedom

- Remember: Einstein equations say nothing about α, β^i
- Any choice of lapse and shift gives a solution
- This represents the **coordinate freedom** of GR
- Physics do not depend on α, β^i
So why bother?
- The performance of the numerics **DO depend** strongly on the gauge!
- How do we get good gauge?
Singularity avoidance, avoid coordinate stretching, well posedness

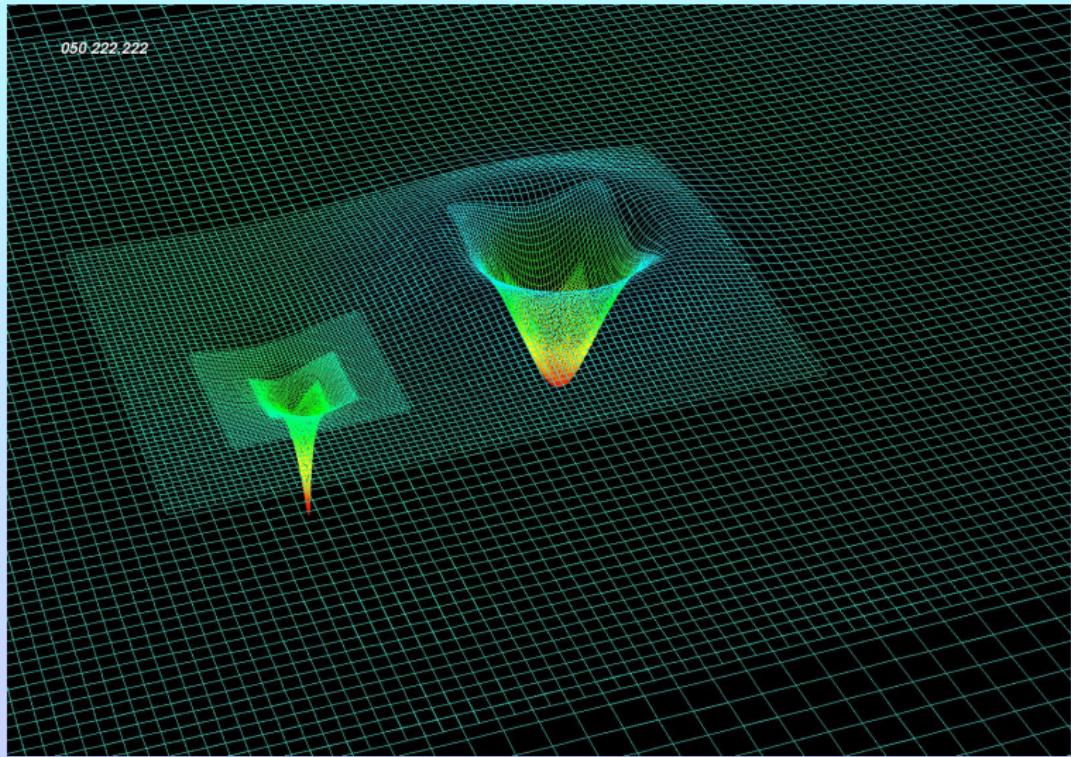
What goes wrong with bad gauge?



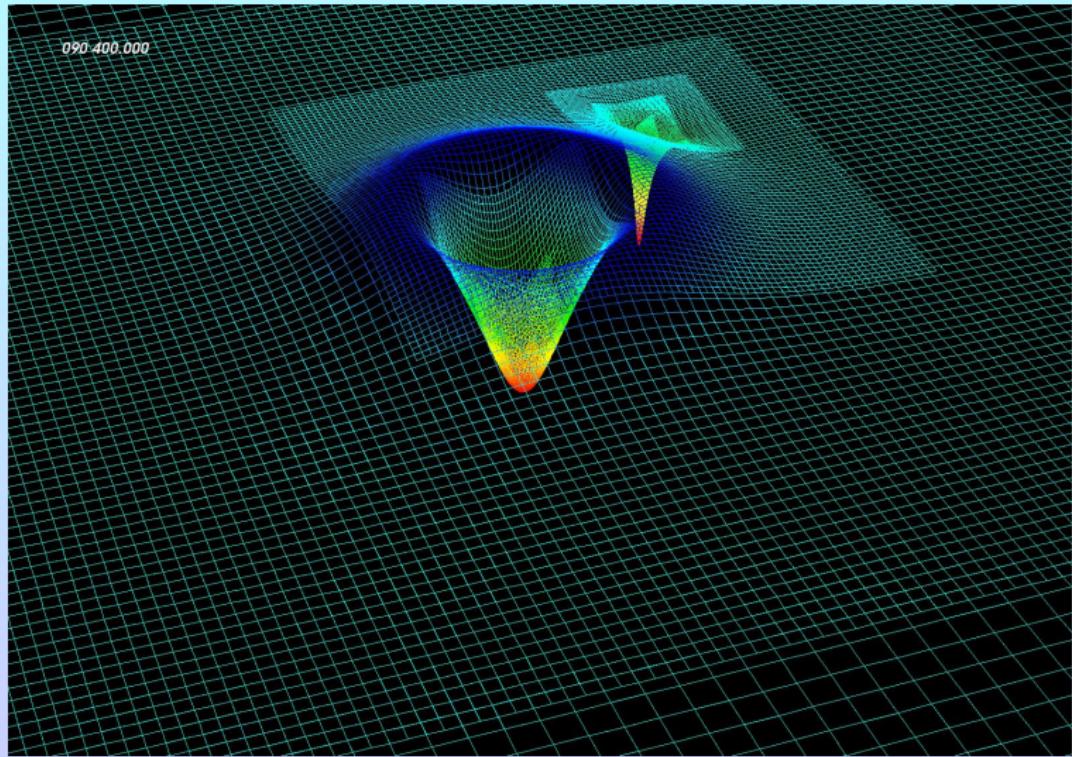
What goes wrong with bad gauge?



What goes wrong with bad gauge?



What goes wrong with bad gauge?



A brief history of BH simulations

- Pioneers: Hahn & Lindquist '60s, Eppley, Smarr *et al.* '70s
- Grand Challenge: First 3D Code Anninos *et al.* '90s
- Further attempts: Bona & Massó, Pitt-PSU-Texas
AEI-Potsdam, Alcubierre *et al.*
PSU: first orbit Brügmann *et al.* '04
Codes unstable!

-
- Breakthrough: Pretorius '05 GHG
UTB, Goddard'05 Moving Punctures
 - Currently about 10 codes world wide

3. BHs in GW and astrophysics

Free parameters of BH binaries

- Total mass M

Relevant for GW detection: Frequencies scale with M

Not relevant for source modeling: trivial rescaling

- Mass ratio $q \equiv \frac{M_1}{M_2}$, $\eta \equiv \frac{M_1 M_2}{(M_1 + M_2)^2}$

- Spin: \vec{S}_1, \vec{S}_2 (6 parameters)

- Initial parameters

Binding energy E_b

Separation

Orbital ang. momentum L

Eccentricity

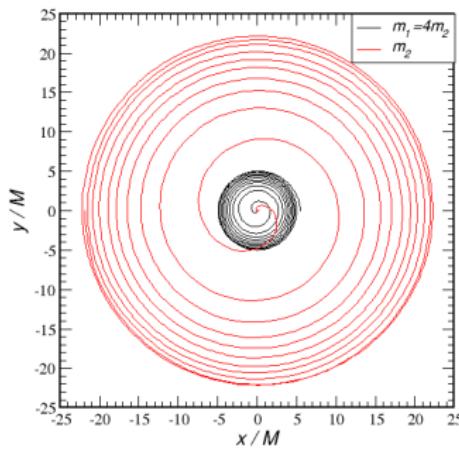
Alternatively: frequency, eccentricity

BBH trajectory and waveform

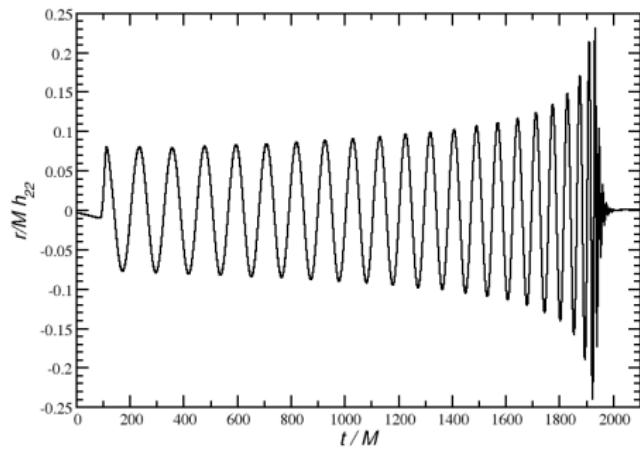
- $q = 4$, non-spinning binary; ~ 11 orbits

US, Brügmann, Müller & Sopuerta '11

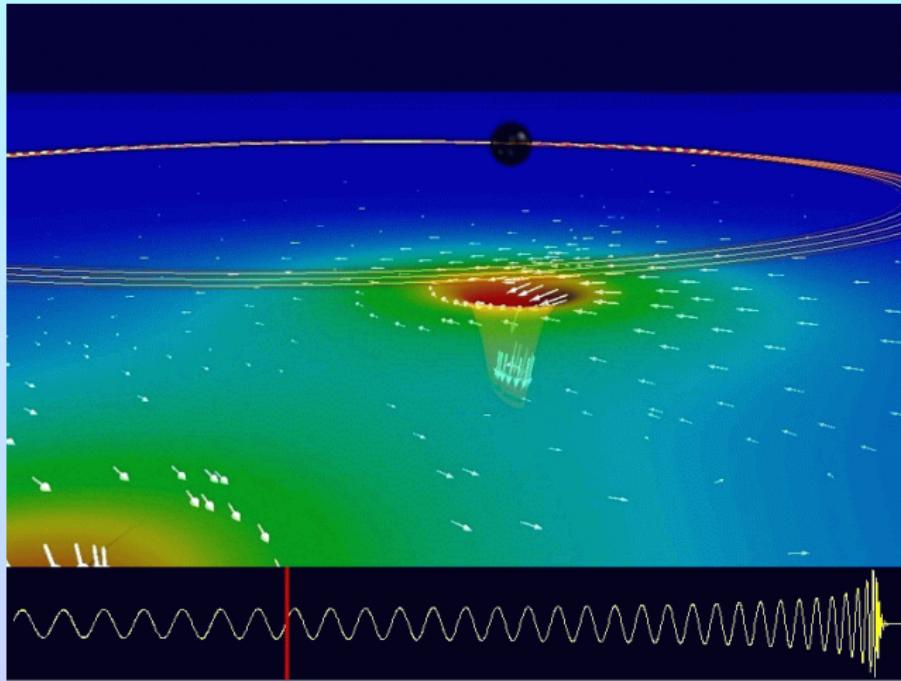
Trajectory



Quadrupole mode



Morphology of a BBH inspiral



Thanks to Caltech, Cornell, CITA

Gravitational recoil

- Anisotropic GW emission \Rightarrow recoil of remnant BH

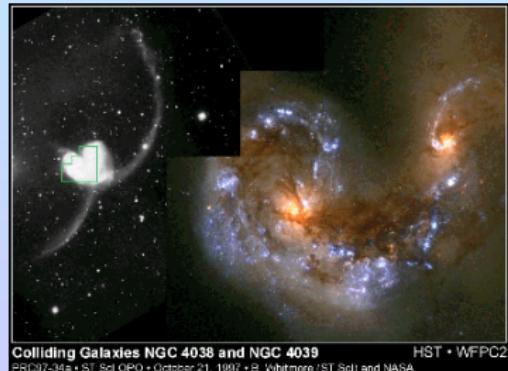
Bonnor & Rotenburg '61, Peres '62, Bekenstein '73

- Escape velocities:

Globular clusters	30 km/s
dSph	20 – 100 km/s
dE	100 – 300 km/s
Giant galaxies	\sim 1000 km/s

Ejection / displacement of BH \Rightarrow

- Growth history of SMBHs
- BH populations, IMBHs
- Structure of galaxies

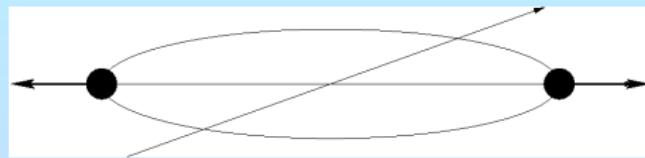


Superkicks

- Kicks from non-spinning BHs up to $\sim 180 \text{ km/s}$

González et al. '06

- Kidder '95, UTB-RIT '07: maximum kick expected for



- Kicks up to $v_{\max} \approx 4000 \text{ km/s}$

González et al. '07, Campanelli et al. '07

- “Hang-up kicks” of up to 5000 km/s Lousto & Zlochower '12

- Suppression via spin alignment and Resonance effects in inspiral Schnittman '04, Bogdanovicz et al. '07, Kesden, US & Berti '10, '10a, '12

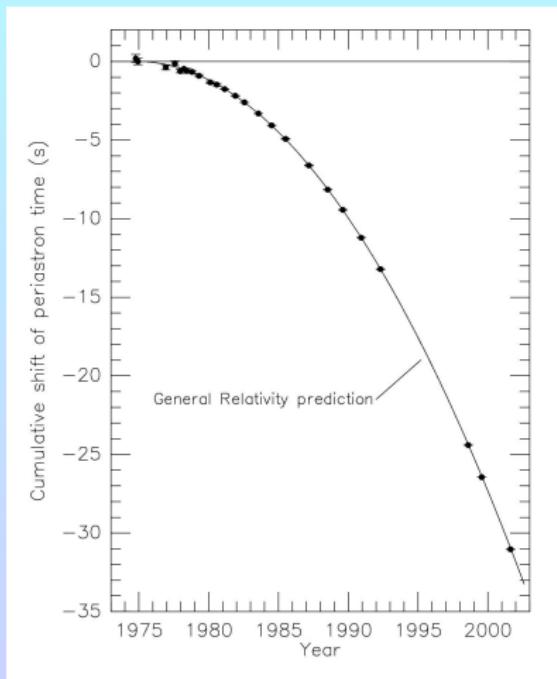
Gravitational Wave observations

- Accelerated masses generate GWs
- Interaction with matter **very weak!**
- Earth bound detectors: GEO600, LIGO, TAMA, VIRGO

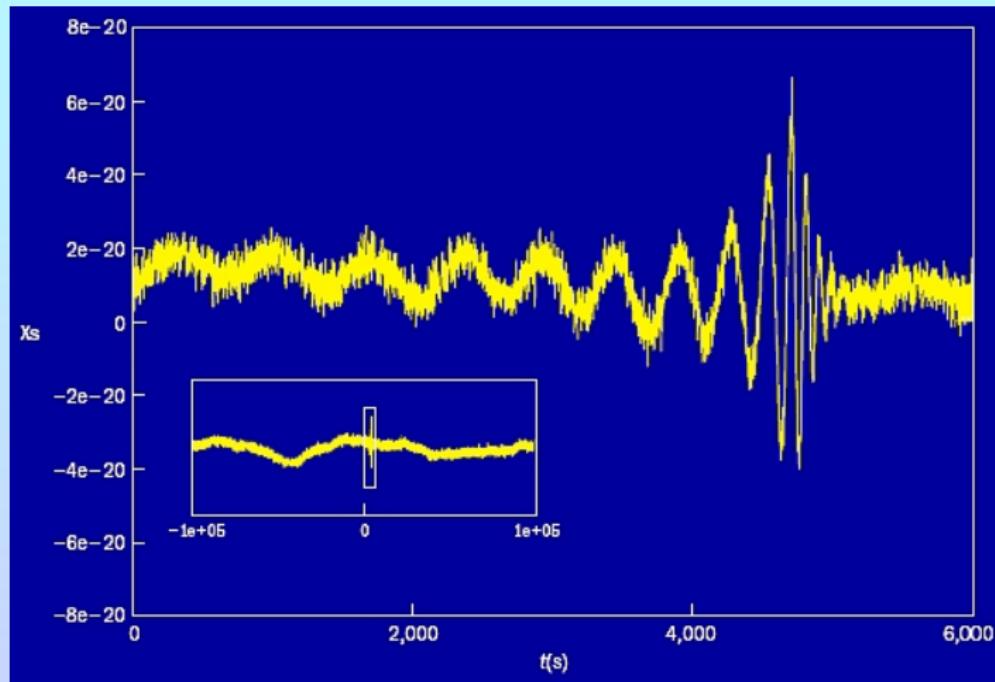


Some targets of GW physics

- Confirmation of GR
Hulse & Taylor 1993 Nobel Prize
- Parameter determination
of BHs: M , \vec{S}
- Optical counter parts
Standard sirens (candles)
- Mass of graviton
- Test Kerr Nature of BHs
- Cosmological sources
- Neutron stars: EOS



Matched filtering



Long, accurate waveforms required

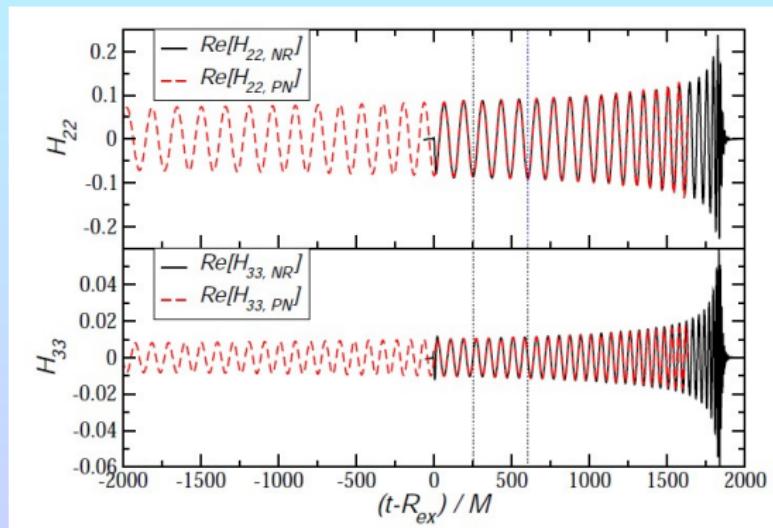
⇒ combine NR with PN, perturbation theory

J. Sperhake (CSIC-IEEC)

Numerical Relativity simulations of black holes: Methodology and Computational Fra

Template construction

- Stitch together PN and NR waveforms
- EOB or phenomenological templates for ≥ 7 -dim. par. space



- Community wide Ninja2 and NRAR projects

4. High-energy collisions of black holes

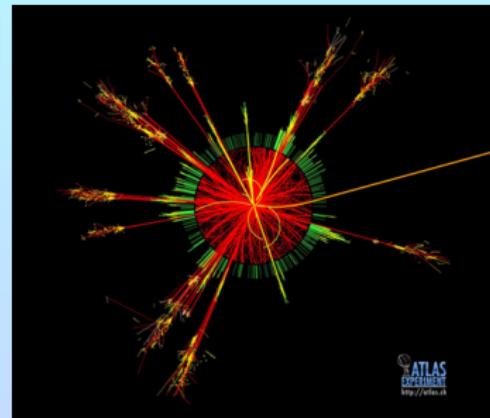
Experimental signature at the LHC

Black hole formation at the LHC could be detected by the properties of the jets resulting from Hawking radiation.

- Multiplicity of partons: Number of jets and leptons
- Large transverse energy
- Black-hole mass and spin are important for this!

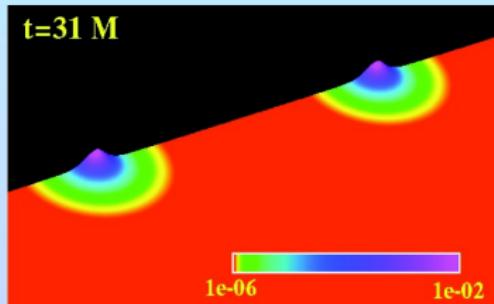
ToDo:

- Exact cross section for BH formation
- Determine loss of energy in gravitational waves
- Determine spin of merged black hole

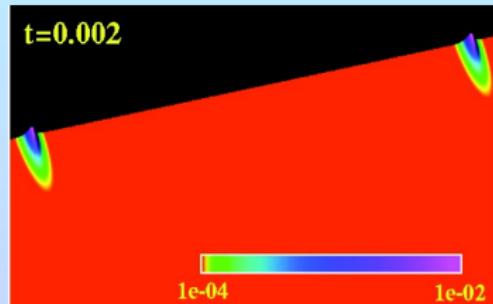


Does matter “matter”?

- Matter does not matter at energies $\ll E_{Planck}$
Banks & Fischler '99; Giddings & Thomas '01
- Einstein plus minimally coupled, massive, complex scalar field
“Boson stars” Pretorius & Choptuik '09



$$\gamma = 1$$



$$1e-04$$

$$1e-02$$

$$\gamma = 4$$

- BH formation threshold: $\gamma_{thr} = 2.9 \pm 10\% \sim 1/3 \gamma_{hoop}$
- Model particle collisions by BH collisions

Initial setup

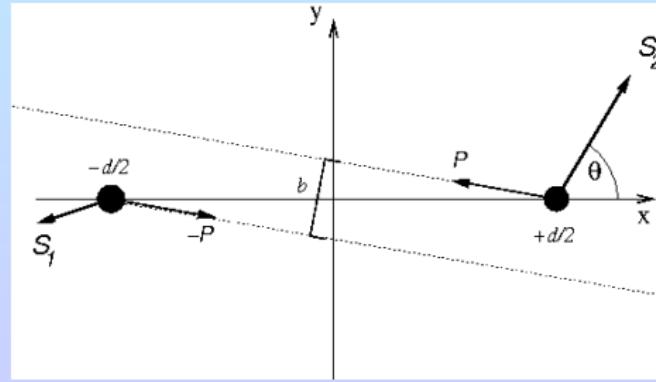
- Take two black holes

Total rest mass: $M_0 = M_{A,0} + M_{B,0}$

Initial position: $\pm \frac{d}{2}$

Linear momentum: $\mp P[\cos \alpha, \sin \alpha, 0]$

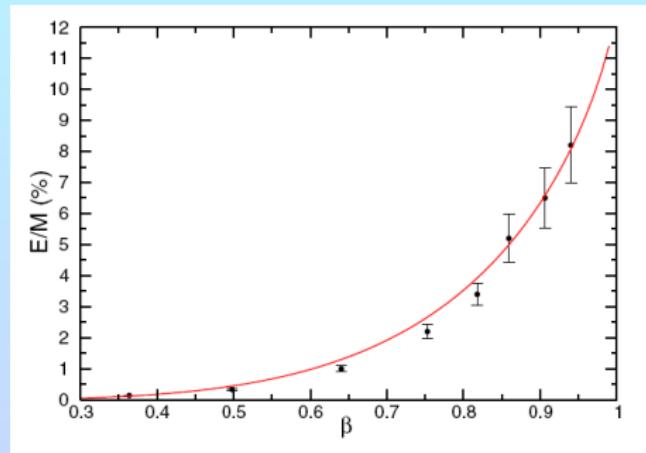
- Impact parameter: $b \equiv \frac{L}{P}$



Head-on: $D = 4$, $b = 0$, $\vec{S} = 0$

- Total radiated energy: $14 \pm 3\%$ for $v \rightarrow 1$ US *et al.* '08

About half of Penrose '74



- Agreement with approximative methods

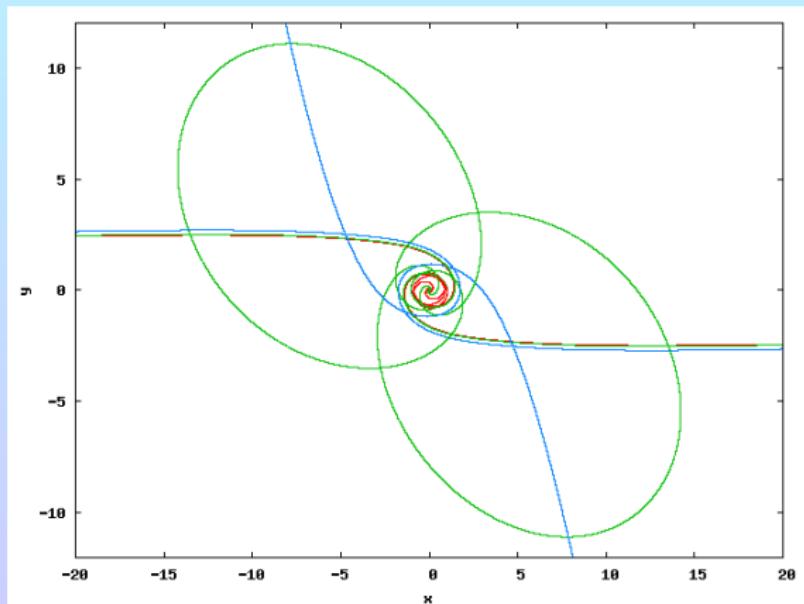
Flat spectrum, multipolar GW structure

Berti *et al.* '10

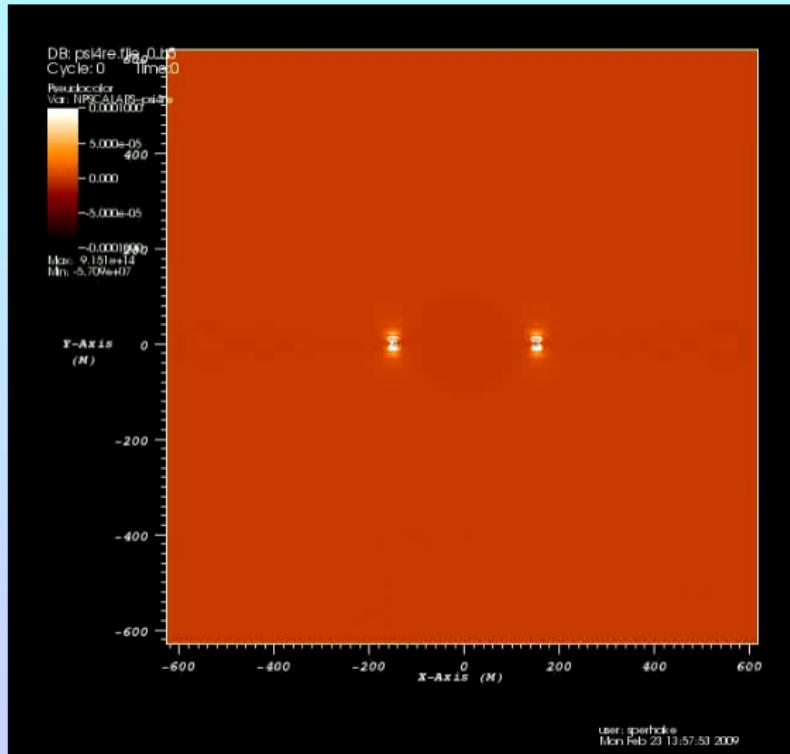
Grazing: $D = 4$, $b \neq 0$, $\gamma = 1.52$

- Zoom-whirl orbits Pretorius & Khurana '07
- Immediate vs. Delayed vs. No merger

US, Cardoso, Pretorius, Berti, Hinderer & Yunes '09



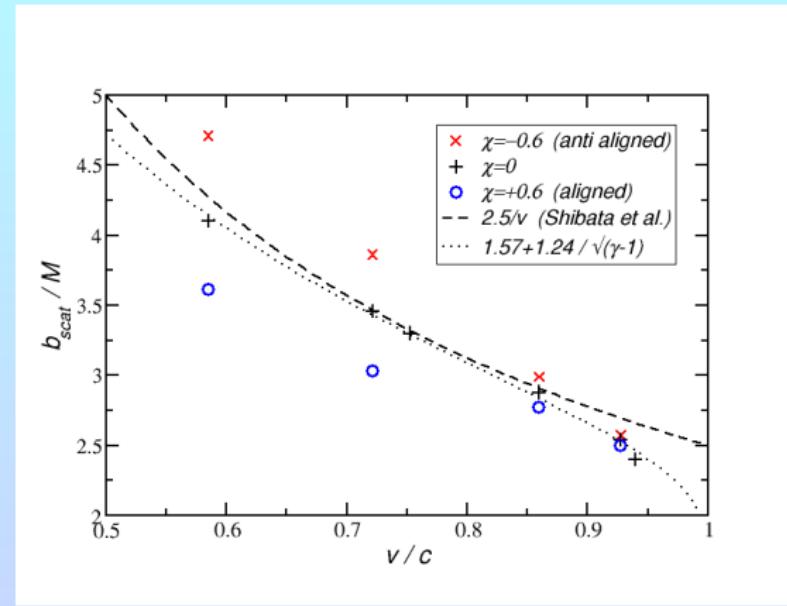
Gravitational radiation: Delayed merger



Scattering threshold b_{scat} in $D = 4$

- $b < b_{\text{scat}}$ \Rightarrow Merger
- $b > b_{\text{scat}}$ \Rightarrow Scattering
- Numerical study: $b_{\text{scat}} = \frac{2.5 \pm 0.05}{\nu} M$
Shibata, Okawa & Yamamoto '08
- Independent study by US, Pretorius, Cardoso, Berti *et al.* '09, '12
 $\gamma = 1.23 \dots 2.93$:
 $\chi = -0.6, 0, +0.6$ (anti-aligned, nonspinning, aligned)
- Limit from Penrose construction: $b_{\text{crit}} = 1.685 M$
Yoshino & Rychkov '05

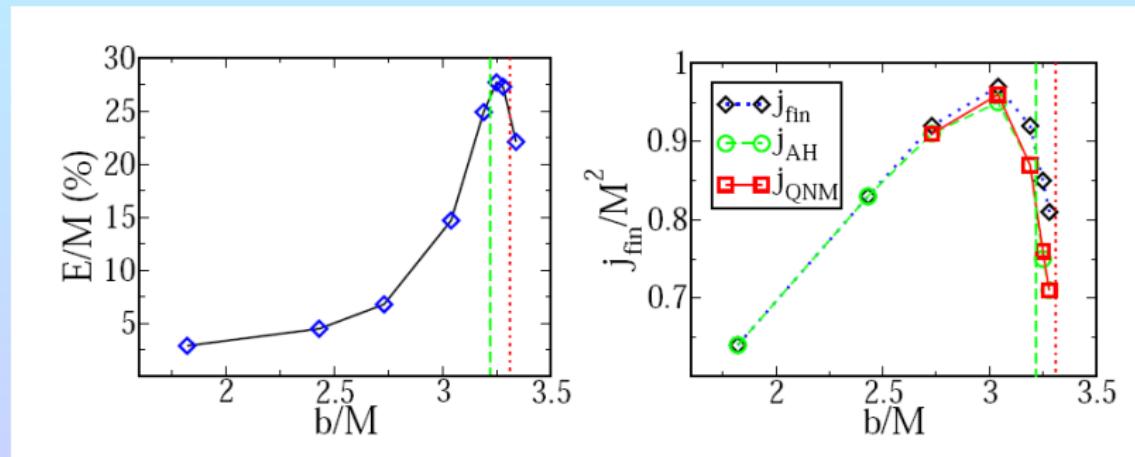
Diminishing impact of structure as $v \rightarrow 1$



- Effect of spin reduced for large γ
- b_{scat} for $v \rightarrow 1$ not quite certain

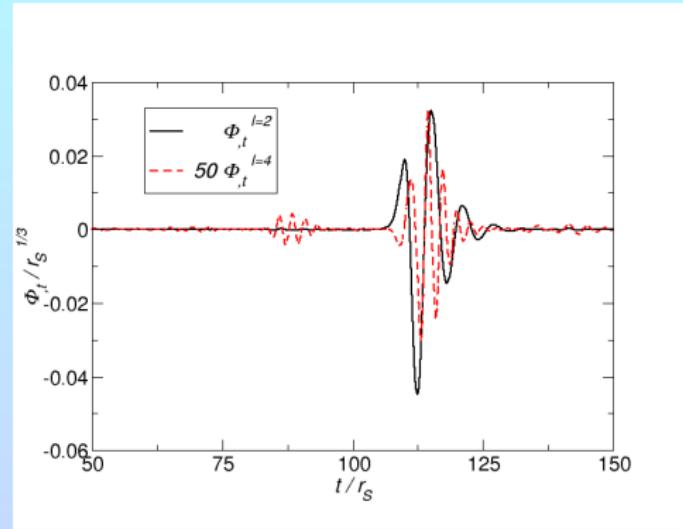
Radiated quantities: b -sequence with $\gamma = 1.52$

- Final spin close to Kerr limit
- $E_{\text{rad}} \sim 35\%$ for $\gamma = 2.93$; about 10 % of Dyson luminosity
- Diminishing “hang-up” effect as $v \rightarrow 1$



Black-hole head-on collisions in $D = 6$

Witek *et al.* *in prep.*



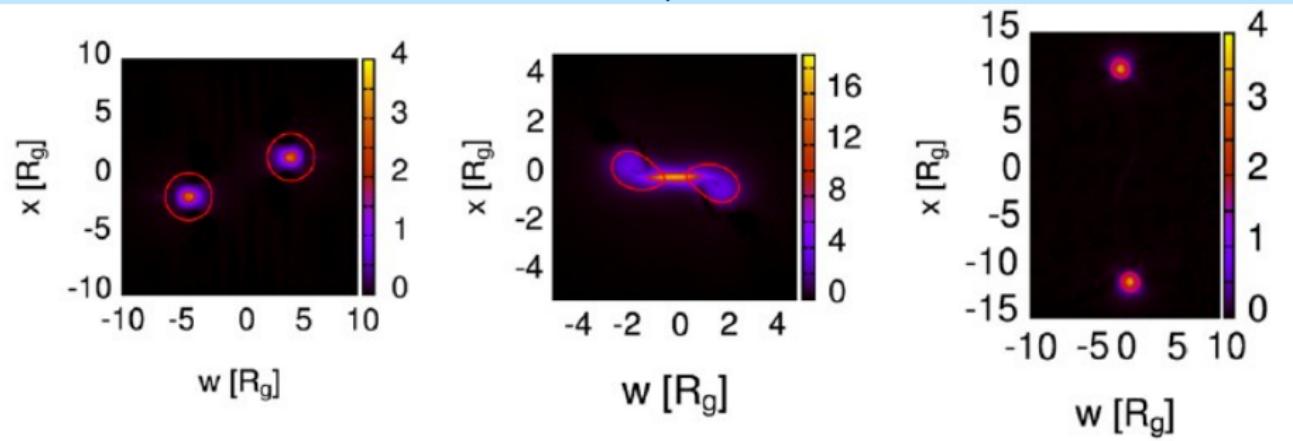
- Dimensional reduction, $SO(D - 3)$ symmetry
- $d/r_S = 6$
- QNM ringdown agrees with close-limit Yoshino '05

Boosted collisions in $D = 5$

Okawa, Nakao & Shibata '11

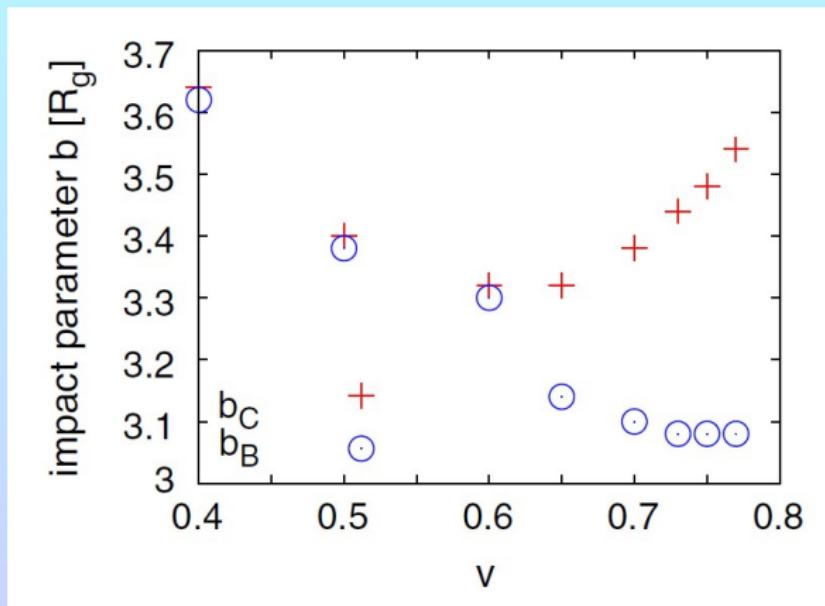
- Take Tangherlini metric; boost, translate, superpose
- Use $SO(D - 3)$ symmetry via CARTOON

$$\frac{\sqrt{R^{abcd} R_{abcd}}}{6\sqrt{2}E_P^2}$$



Scattering threshold in $D = 5$

Okawa, Nakao & Shibata '11



Numerical stability still an issue...

5. The AdS/CFT correspondence

The AdS/CFT conjecture

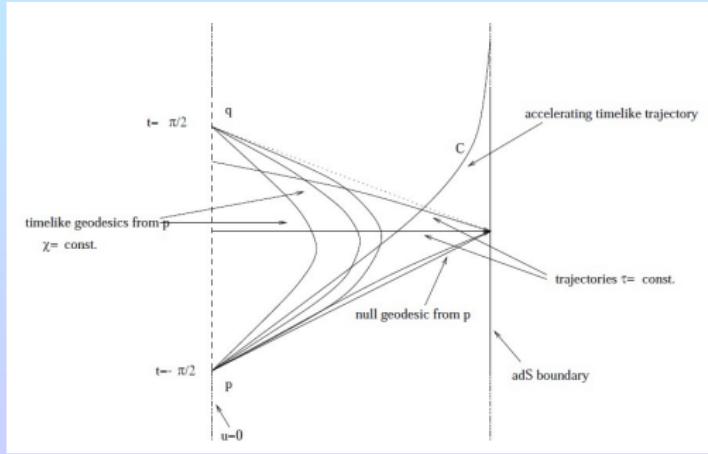
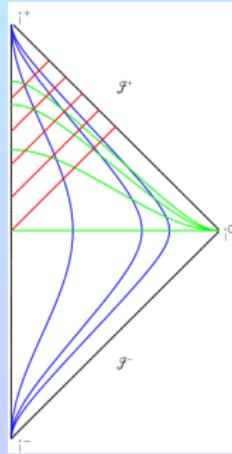
Maldacena '98

- “strong form”: Type IIB string theory on $AdS_5 \times S^5$
 $\Leftrightarrow \mathcal{N} = 4$ super Yang-Mills in $D = 4$
Hard to prove; non-perturbative Type IIB String Theory?
- “weak form”: low-energy limit of string-theory side
 \Rightarrow Type IIB Supergravity on $AdS_5 \times S^5$
- Some assumptions, factor out S^5
 \Rightarrow General Relativity on AdS_5
- Corresponds to limit of large N , $g^2 N$ in the field theory
- E. g. Stationary AdS BH \Leftrightarrow Thermal Equil. with T_{Haw} in dual FT

Witten '98

The boundary in AdS

- Dictionary between metric properties and vacuum expectation values of CFT operators.
E. g. $T_{\alpha\beta}$ operator of CFT \leftrightarrow transverse metric on *AdS* boundary.
- The boundary plays an active role in *AdS*! Metric singular!

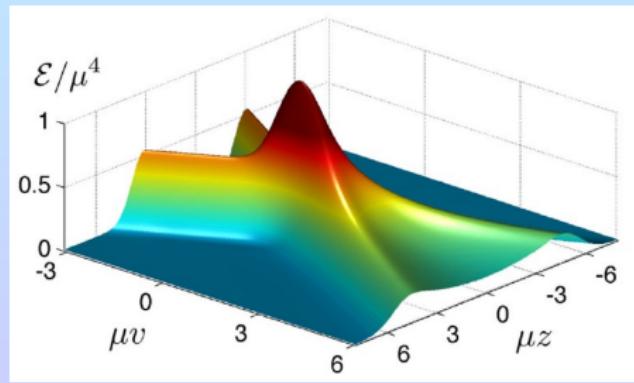


Collision of planar shockwaves in $\mathcal{N} = 4$ SYM

- Dual to colliding gravitational shock waves in AADS
- Characteristic study with translational invariance

Chesler & Yaffe '10, '11

- Initial data: 2 superposed shockwaves
- Isotropization after $\Delta v \sim 4/\mu \sim 0.35 \text{ fm}/c$



Cauchy (“4+1”) evolutions in asymptotically AdS

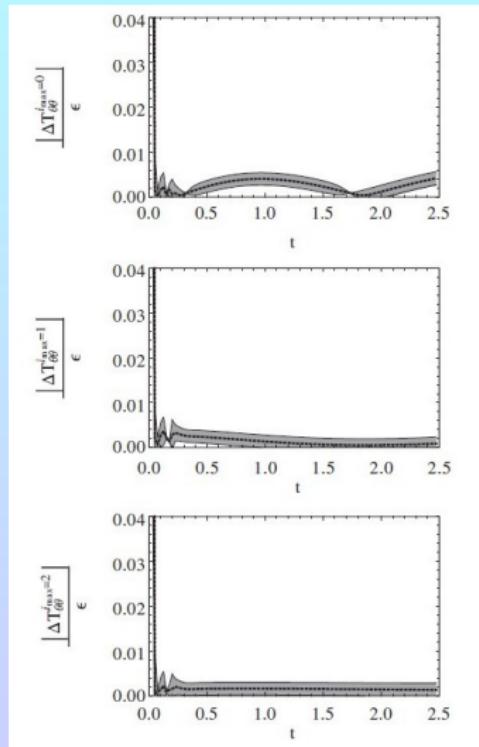
- Characteristic coordinates successful numerical tool in AdS/CFT
- But: restricted to symmetries, caustics problem...
- Cauchy evolution needed for general scenarios? Cf. BBH inspiral!!
- Cauchy scheme based on generalized harmonic formulation

Bantilan & Pretorius '12

- $SO(3)$ symmetry
- Compactify “bulk radius”
- Asymptotic symmetry of AdS_5 : $SO(4, 2)$
- Decompose metric into AdS_5 piece and deviation
- Gauge must preserve asymptotic fall-off

Cauchy (“4+1”) evolutions in asymptotically AdS

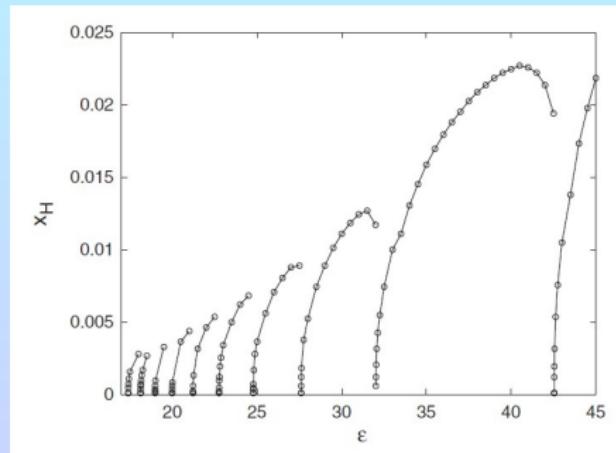
- Scalar field collapse
- BH formation and ringdown
- Low order QNMs \sim perturbative studies, but mode coupling
- CFT stress-energy tensor consistent with thermalized $\mathcal{N} = 4$ SYM fluid
- Difference of CFT $T_{\theta\theta}$ and hydro ($+1^{st}, 2^{nd}$ corrs.)



6. Stability, Cosmic Censorship

Stability of AdS

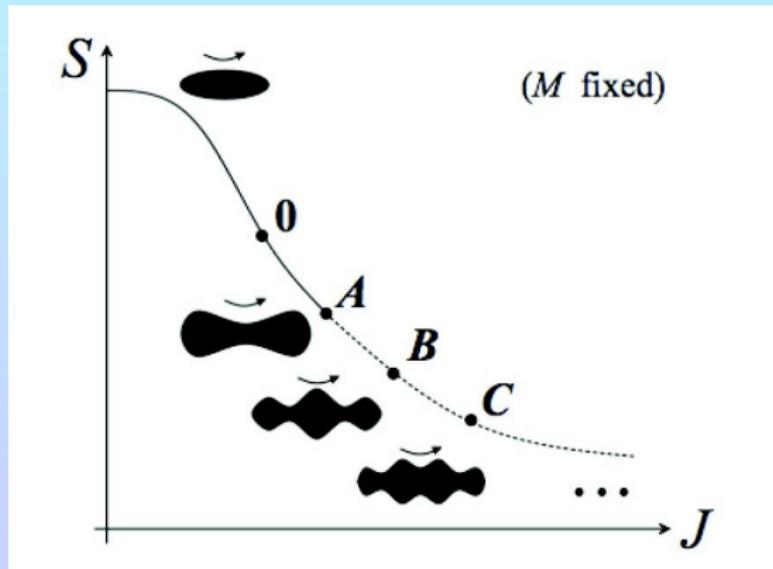
- $m = 0$ scalar field in as. flat spacetimes Choptuik '93
 $p > p^* \Rightarrow \text{BH}, \quad p < p^* \Rightarrow \text{flat}$
- $m = 0$ scalar field in as. AdS Bizon & Rostworowski '11



- Similar behaviour for “Geons” Dias, Horowitz & Santos '11

Bar mode instability of Myers-Perry BH

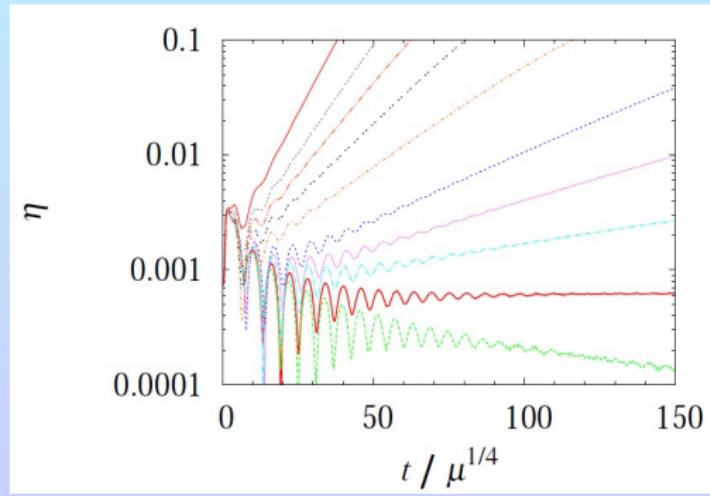
- MP BHs (with single ang.mom.) should be unstable.
- Linearized analysis Dias *et al.* '09



Non-linear analysis of MP instability

Shibata & Yoshino '10

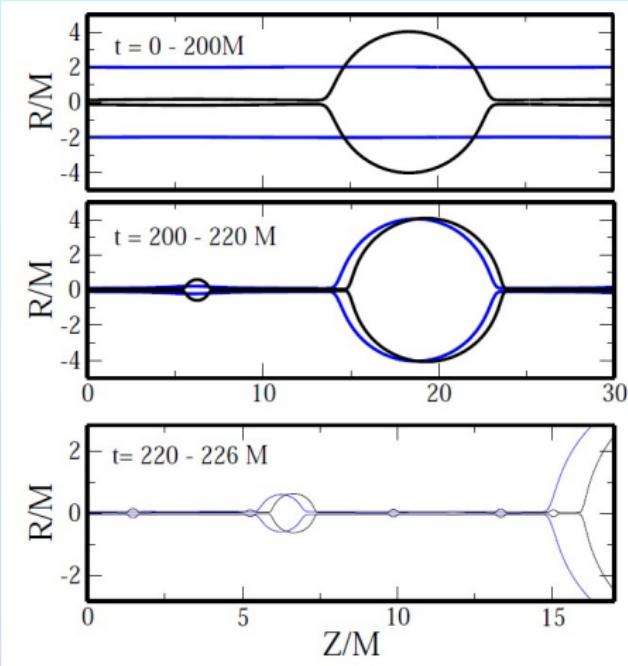
- Myers-Perry metric; transformed to Puncture like coordinate
- Add small bar-mode perturbation
- Unstable for rotation parameter $q \gtrsim 0.75$



Cosmic Censorship in $D = 5$

Pretorius & Lehner '10

- Axisymmetric code
- Evolution of black string...
- Gregory-Laflamme instability cascades down in finite time until string has zero width \Rightarrow naked singularity



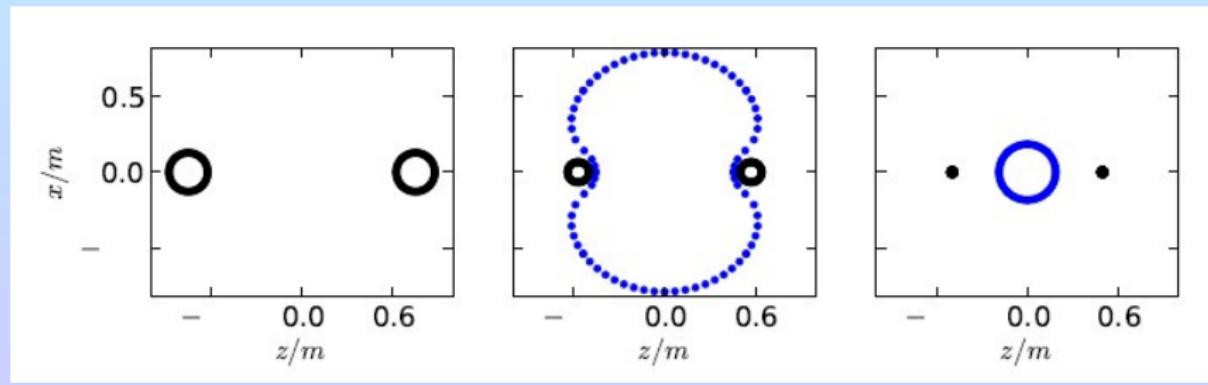
Cosmic Censorship in $D = 4$ de Sitter

Zilhão et al. '12

- Two parameters: MH, d
- Initial data: McVittie type binaries McVittie '33
- “Small BHs”: $d < d_{crit} \Rightarrow$ merger

$$d > d_{crit} \Rightarrow \text{no common AH}$$

- “Large” holes at small d : Cosmic Censorship holds



7. Conclusions

Conclusions

- NR breakthroughs in 2005
- Typical simulations: 128 cores, 256 Gb RAM, \sim weeks
- Explicit discretization, MPI parallelized, OpenMP
- Astrophysics, GW physics
- High-energy collisions of black holes
- AdS/CFT correspondence
- BH Stability, Cosmic Censorship
- ... ?