

# Black-hole collisions and gravitational waves

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Física, Porto, 2<sup>nd</sup> September 2010



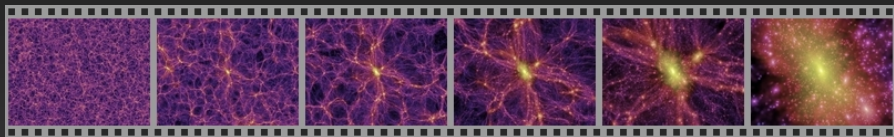
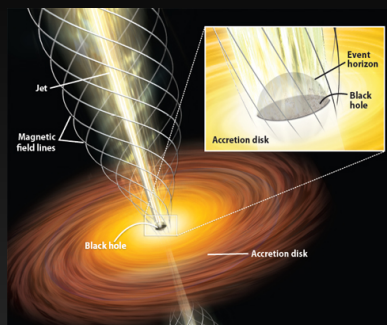
# Overview

- Motivation
- Introduction
- Ingredients of numerical relativity
- Results
  - Precambrium: before the 2005 explosion
  - Gravitational wave observations
  - Black holes in astrophysics
  - Black holes in fundamental physics
- Summary

# 1. Motivation

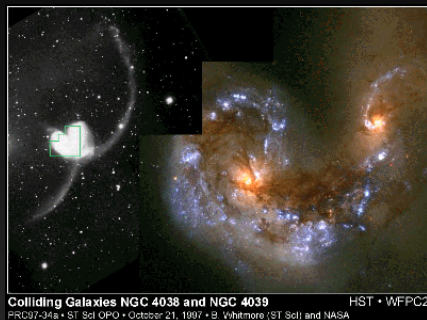
# Black holes in Astrophysics

- Black holes are important in many astrophysical processes
  - Galaxies host BHs
  - Important sources of electromagnetic radiation
  - Structure formation in the Universe



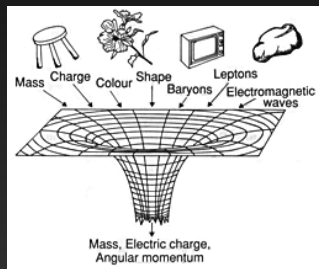
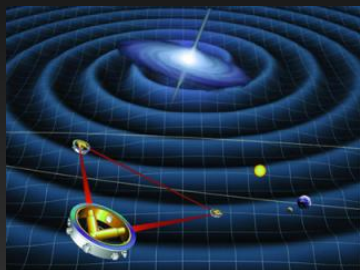
# Black holes in Astrophysics

- Black holes are important in many astrophysical processes
  - Structure of galaxies
  - Cosmic projectiles



# Black holes in Fundamental Physics

- Black holes allow new tests of fundamental physics
  - Strongest sources of Gravitational Waves (GWs)
  - Test alternative theories of Gravity
  - No-hair theorem of GR



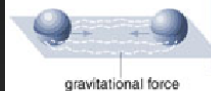
# Black holes in Fundamental Physics

- Black holes allow new tests of fundamental physics
  - Production in particle accelerators

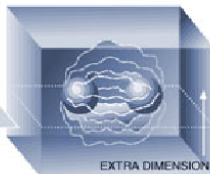
## Black Holes on Demand

Scientists are exploring the possibility of producing miniature black holes on demand by smashing particles together. Their plans hinge on the theory that the universe contains more than the three dimensions of everyday life. Here's the idea:

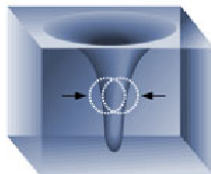
*Particles collide in three dimensional space, shown below as a flat plane.*



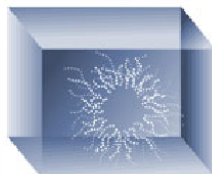
As the particles approach in a particle accelerator, their gravitational attraction increases steadily.



When the particles are extremely close, they may enter space with more dimensions, shown above as a cube.



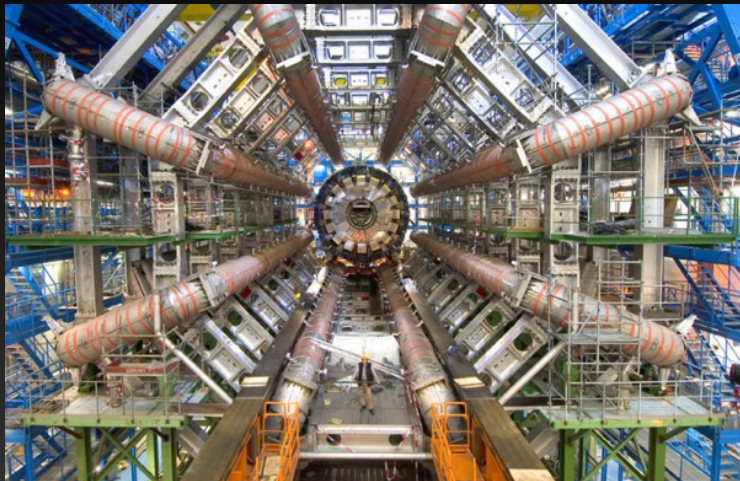
The extra dimensions would allow gravity to increase more rapidly so a black hole can form.



Such a black hole would immediately evaporate, sending out a unique pattern of radiation.

# Black holes in Fundamental Physics

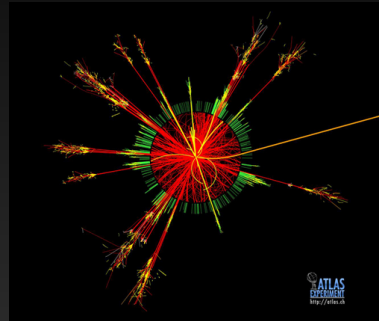
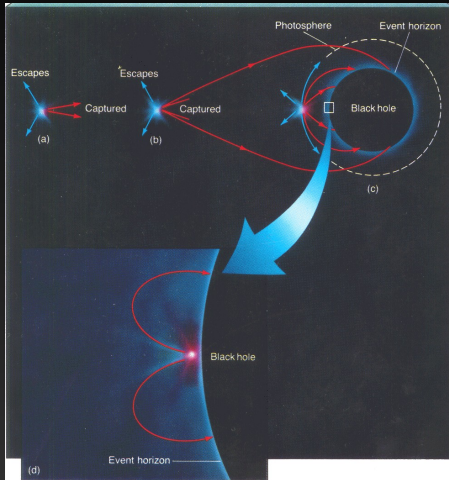
## ● LHC CERN





# Black holes in Fundamental Physics

## ● BH evaporation via Hawking radiation



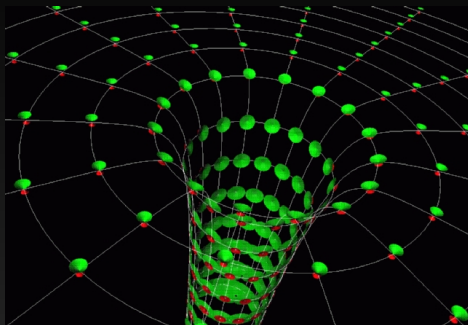
# Black holes in Fundamental Physics

- BH spacetimes “know” about physics without BHs  
AdS-CFT Correspondence

## 2. What are Black Holes?

# How to characterize Black HoleS?

- Consider **Lightcones**
- In and outgoing light
- Calculate **surface** of outgoing light fronts
- **Expansion**  $\equiv$  Rate of change of this surface
- **Apparent Horizon**  $\equiv$  Outermost surface with zero expansion
- “Light cones tip over” due to curvature



# Schwarzschild metric

## Schwarzschild-Metrik

$$g^{\mu\nu} = \begin{pmatrix} g^{tt} & 0 & 0 & 0 \\ 0 & g^{rr} & 0 & 0 \\ 0 & 0 & g^{\theta\theta} & 0 \\ 0 & 0 & 0 & g^{\phi\phi} \end{pmatrix} = \begin{pmatrix} -1/(1 - 2M/r) & 0 & 0 & 0 \\ 0 & (1 - 2M/r) & 0 & 0 \\ 0 & 0 & 1/r^2 & 0 \\ 0 & 0 & 0 & 1/(r \sin \theta)^2 \end{pmatrix}$$

$$g_{\mu\nu} = \begin{pmatrix} g_{tt} & 0 & 0 & 0 \\ 0 & g_{rr} & 0 & 0 \\ 0 & 0 & g_{\theta\theta} & 0 \\ 0 & 0 & 0 & g_{\phi\phi} \end{pmatrix} = \begin{pmatrix} -(1 - 2M/r) & 0 & 0 & 0 \\ 0 & 1/(1 - 2M/r) & 0 & 0 \\ 0 & 0 & r^2 & 0 \\ 0 & 0 & 0 & r^2 \sin^2 \theta \end{pmatrix}$$

- Unfortunate coordinates
- Singularity at  $r = 2M$
- What does this mean?

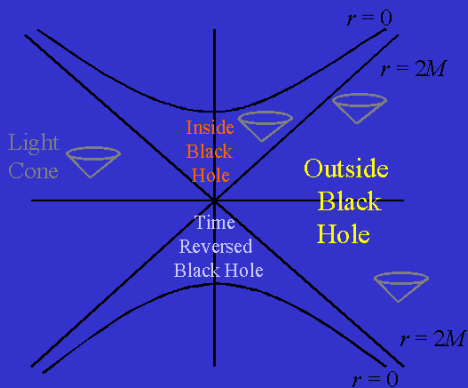
## Kruskal Coordinates, 1

$$\text{With } u = \pm \left| \frac{r}{2M} - 1 \right|^{1/2} e^{r/4M} \cosh\left(\frac{ct}{4M}\right)$$

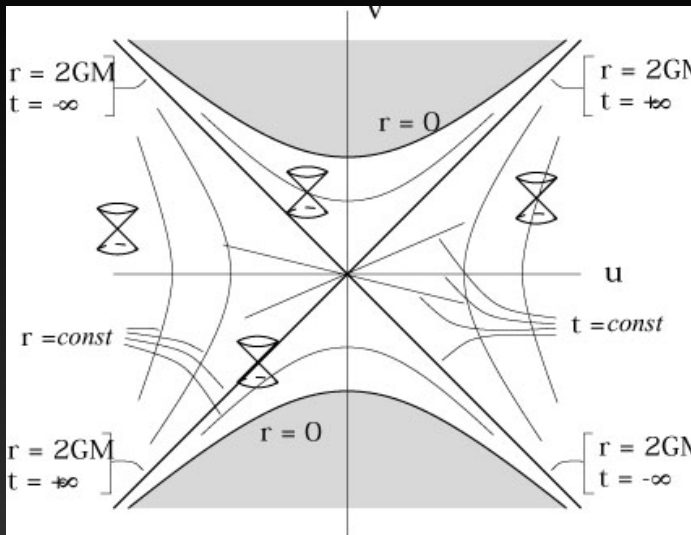
$$\text{and } v = \pm \left| \frac{r}{2M} - 1 \right|^{1/2} e^{r/4M} \sinh\left(\frac{ct}{4M}\right)$$

The Schwarzschild solution is represented by the following:

## Kruskal Coordinates, 2

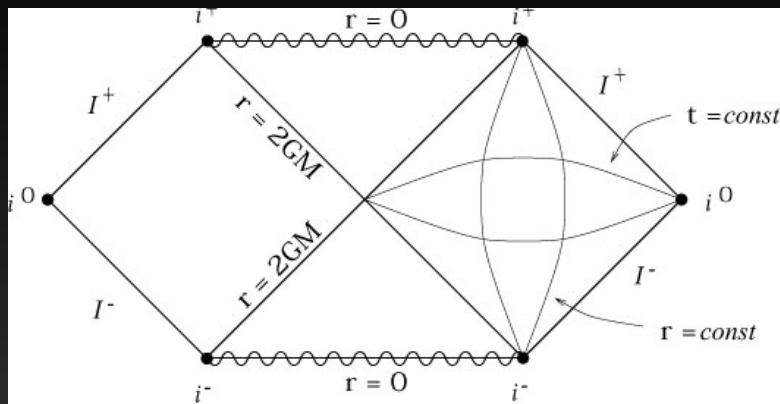


# Kruskal coordinates





# Penrose diagram



## Rotating BHs: Kerr metric

$$ds^2 = -\frac{\Delta_r}{\chi^2 \rho^2} (dt - a \sin^2 \theta d\phi)^2 + \frac{\Delta_\theta \sin^2 \theta}{\chi^2 \rho^2} \times [a dt - (r^2 + a^2) d\phi]^2 + \rho^2 \left( \frac{dr^2}{\Delta_r} + \frac{d\theta^2}{\Delta_\theta} \right)$$

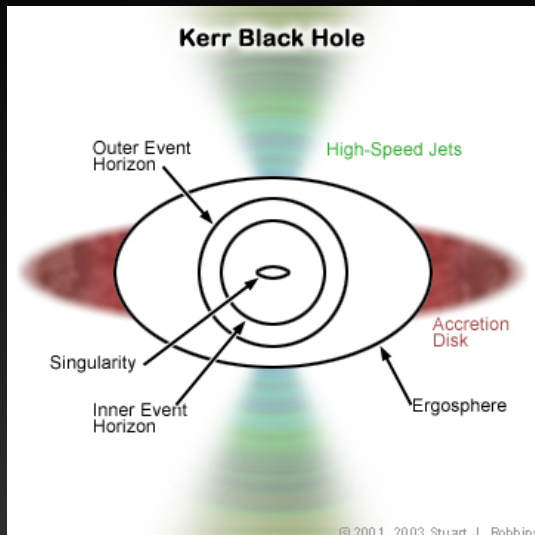
$$\rho^2 = r^2 + \cos^2 \theta$$

$$\Delta_r = (r^2 + a^2) \left( 1 - \frac{1}{3} \Lambda r^2 \right) - 2Mr + Q^2$$

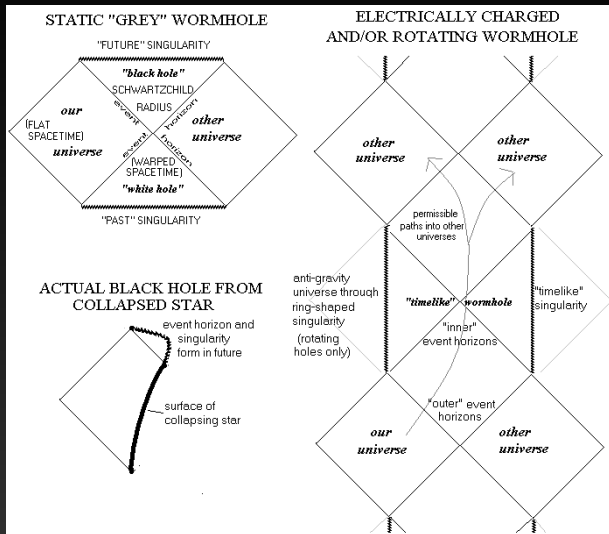
$$\Delta_\theta = 1 + \frac{1}{3} \Lambda a^2 \cos^2 \theta$$

$$\chi = 1 + \frac{1}{3} \Lambda a^2$$

# Rotating BHs: Kerr BH

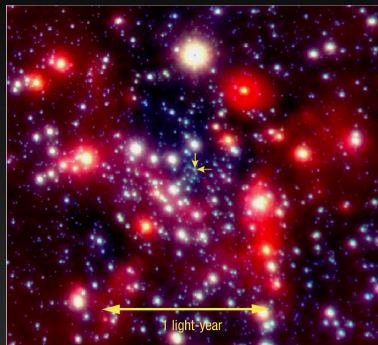


# Penrose diagram



# BHs for astrophysicists

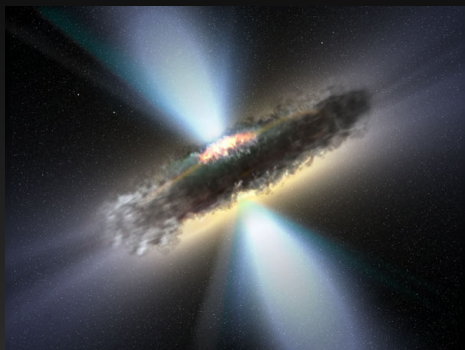
- Supermassive BHs found at center of virtually all galaxies



The Centre of the Milky Way  
(VLT YEPUN + NACO)

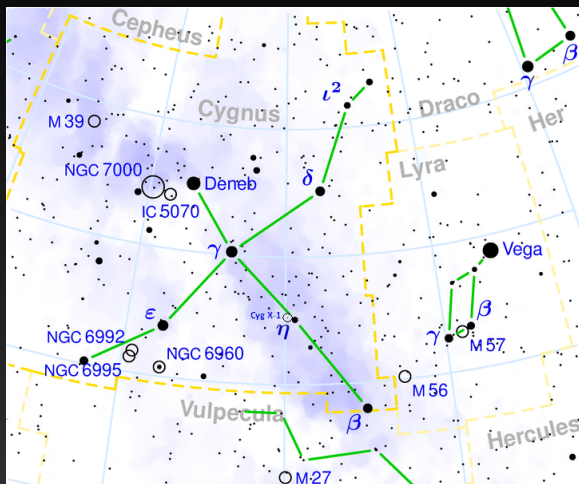
ISO PR Photo 25a/02 (9 October 2002)

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# Stellar BHs

- In stellar binary systems: Cygnus XR-1



# Stellar BHs

- X ray source!



# Stellar BHs

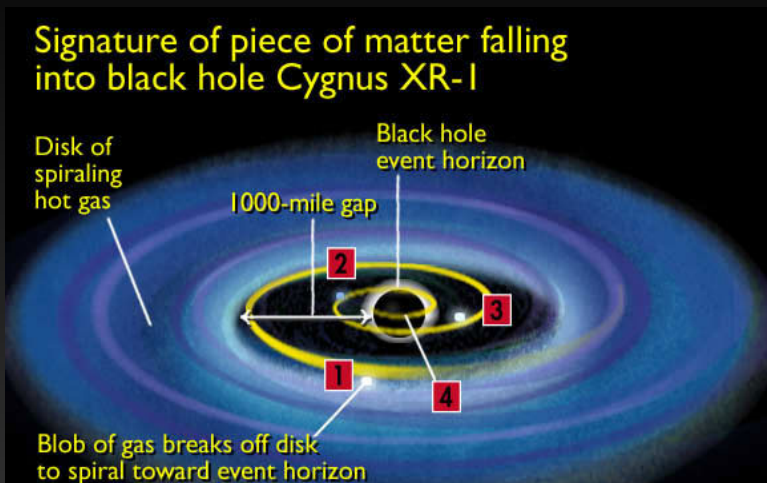
- One member is very compact and massive  $\Rightarrow$  **Black Hole!**





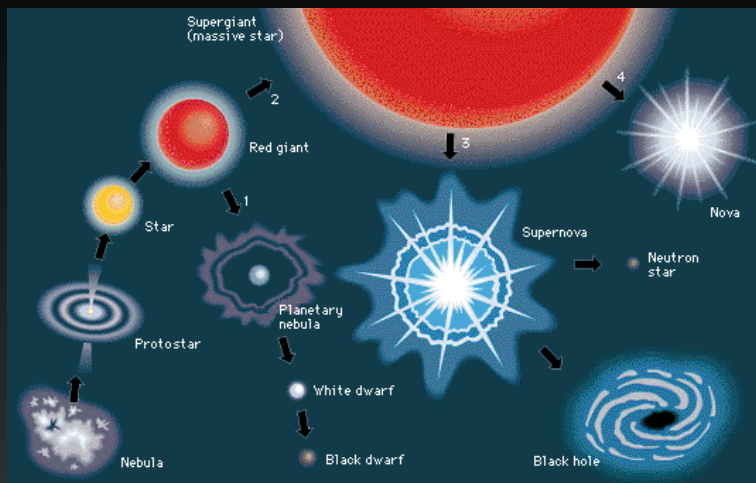
# Stellar BHs

- Mass transfer, accretion



# How are Black Holes formed?

## ● Stellar BHs: Supernovae



# 3. Gravitational Wave observations

# Gravitational Waves

- Einstein's equations have **wave like solutions**:  
Gravitational Waves:  $h_{ij} = h_{ij}(r - t)$
- Effect on test particles

# Gravitational Wave detectors

- Accelerated masses generate GWs
- Interaction with matter *very weak!*
- Earth bound detectors: GEO600, LIGO, TAMA, VIRGO

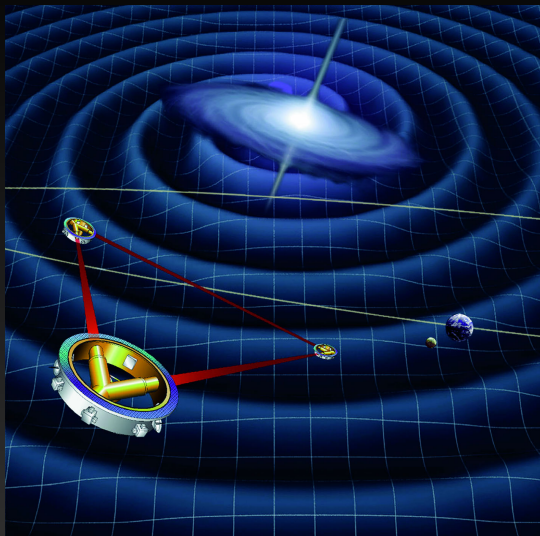


# Detection principle

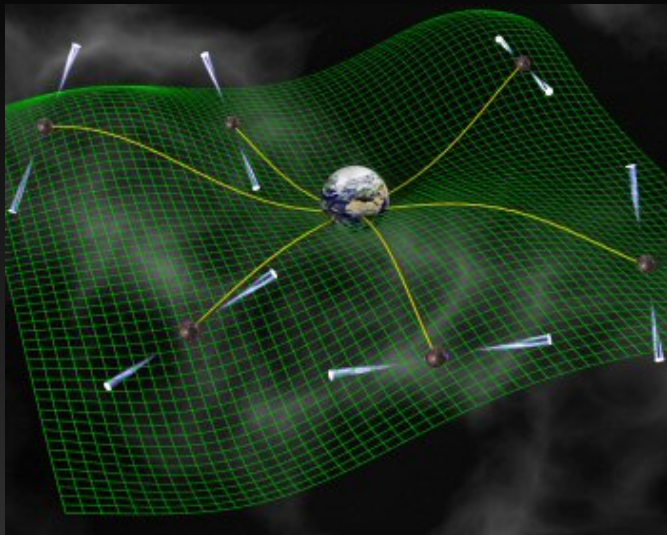
- Principle of measurement: Michelson-Morley interferometer but muuuuuuch more accurate: fraction of nucleus per km



# Space interferometer LISA

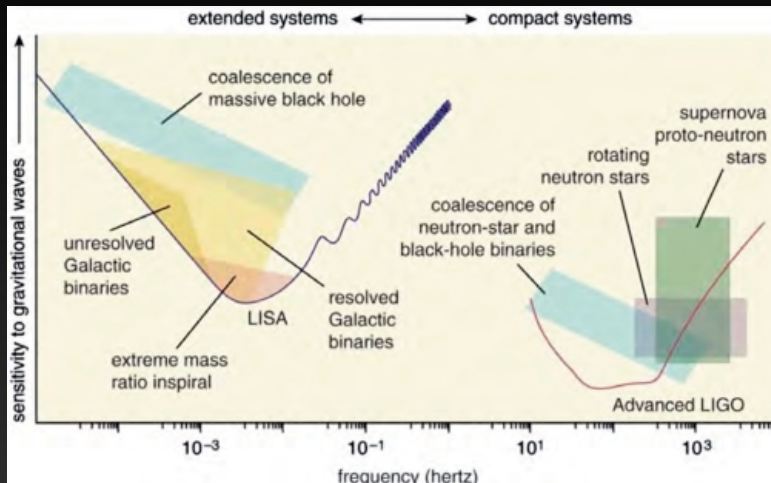


# Pulsar timing arrays

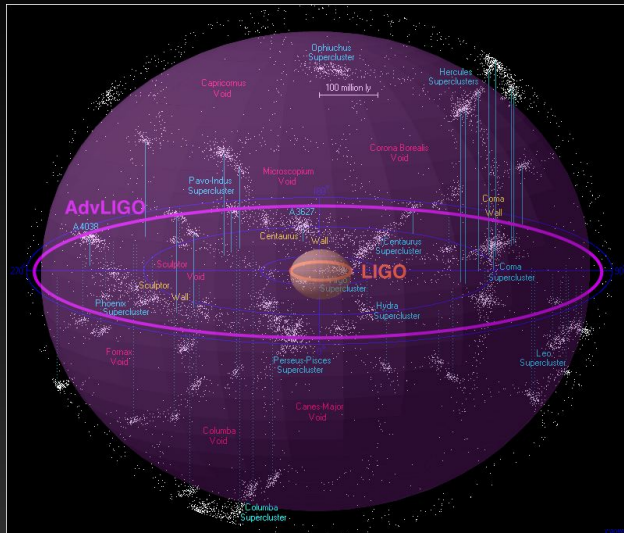




# Expected sources

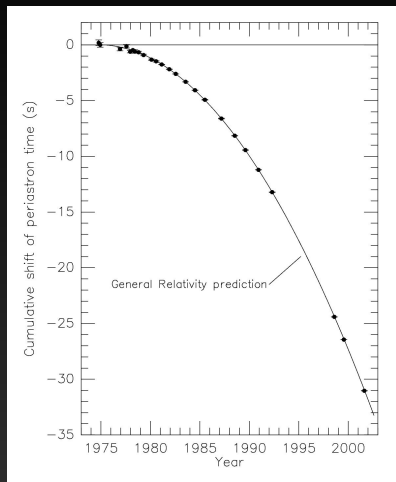


# Expected sources

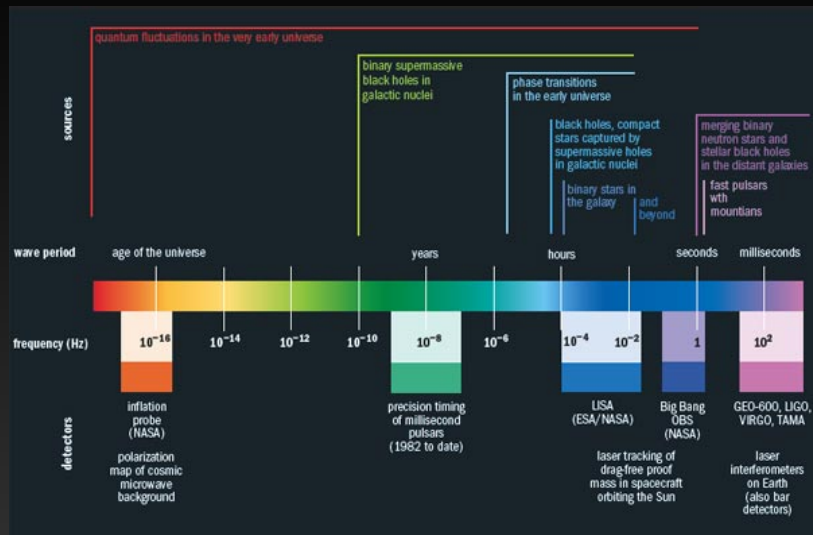


# Some targets of GW physics

- Confirmation of GR
  - Hulse & Taylor 1993 Nobel Prize
- Parameter determination of BHs:  $M$ ,  $\vec{S}$
- Optical counter parts
  - Standard sirens (candles)
  - Mass of graviton
- Test Kerr Nature of BHs
- Cosmological sources
- Neutron stars: EOS

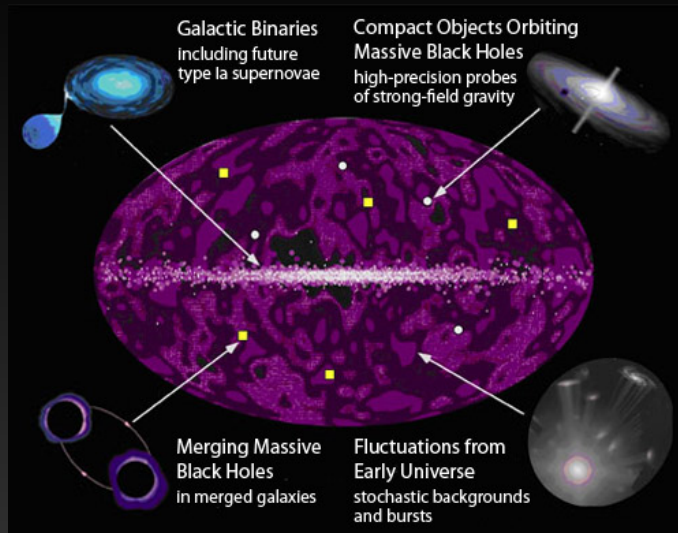


# Some targets of GW physics

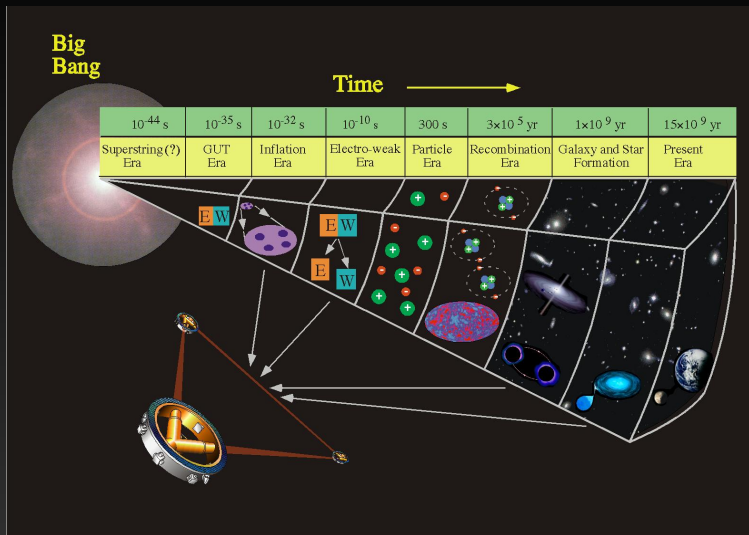




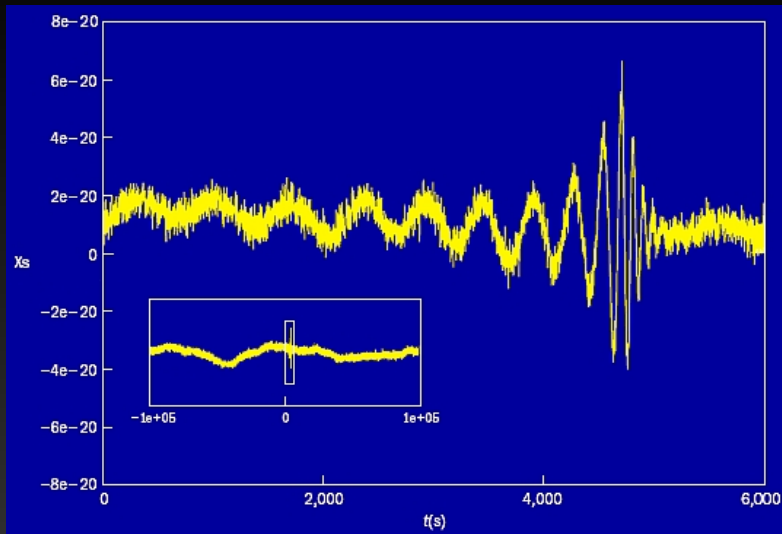
# GW physics with LISA



# GW physics with LISA



# Matched filtering





# 3. Numerical Framework

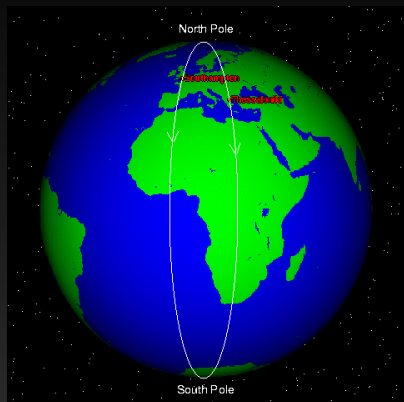
# General Relativity: Curvature

- Curvature generates acceleration  
“geodesic deviation”  
No “force”!!
- Description of geometry

Metric  $g_{\alpha\beta}$

Connection  $\Gamma_{\beta\gamma}^{\alpha}$

Riemann Tensor  $R^{\alpha}{}_{\beta\gamma\delta}$



# The metric defines everything

- Christoffel connection

$$\Gamma_{\beta\gamma}^{\alpha} = \frac{1}{2}g^{\alpha\mu} (\partial_{\beta}g_{\gamma\mu} + \partial_{\gamma}g_{\mu\beta} - \partial_{\mu}g_{\beta\gamma})$$

- Covariant derivative

$$\nabla_{\alpha}T^{\beta}_{\gamma} = \partial_{\alpha}T^{\beta}_{\gamma} + \Gamma_{\mu\alpha}^{\beta}T^{\mu}_{\gamma} - \Gamma_{\gamma\alpha}^{\mu}T^{\beta}_{\mu}$$

- Riemann Tensor

$$R^{\alpha}_{\beta\gamma\delta} = \partial_{\gamma}\Gamma_{\beta\delta}^{\alpha} - \partial_{\delta}\Gamma_{\beta\gamma}^{\alpha} + \Gamma_{\mu\gamma}^{\alpha}\Gamma_{\beta\delta}^{\mu} - \Gamma_{\mu\delta}^{\alpha}\Gamma_{\beta\gamma}^{\mu}$$

- $\Rightarrow$  Geodesic deviation,

Parallel transport,

...

# How to get the metric?

- The metric must obey the Einstein Equations
- Ricci-Tensor, Einstein Tensor, Matter Tensor

$$R_{\alpha\beta} \equiv R^{\mu}{}_{\alpha\mu\beta}$$

$$G_{\alpha\beta} = \frac{1}{2}g_{\alpha\beta}R^{\mu}{}_{\mu} \quad \text{“Trace reversed” Ricci}$$

$$T_{\alpha\beta} \quad \text{“Matter”}$$

- Einstein Equations  $G_{\alpha\beta} = 8\pi T_{\alpha\beta}$

- Solutions: Easy!
  - Take metric
  - $\Rightarrow$  Calculate  $G_{\alpha\beta}$
  - $\Rightarrow$  Use that as matter tensor

- Physically meaningful solutions: Difficult!

# The Einstein Equations in vacuum

- “Spacetime tells matter how to move,  
matter tells spacetime how to curve”
- Field equations in vacuum:  $R_{\alpha\beta} = 0$   
Second order PDEs for the metric components  
Invariant under coordinate (gauge) transformations
- System of equations extremely complex: **Pile of paper!**  
Analytic solutions: Minkowski, Schwarzschild, Kerr,  
Robertson-Walker, ...
- **Numerical methods** necessary for general scenarios!!!

# A list of tasks

- Target: Predict time evolution of BBH in GR
- Einstein equations:
  - 1) Cast as evolution system
  - 2) Choose specific formulation
  - 3) Discretize for computer
- Choose coordinate conditions: Gauge
- Fix technical aspects:
  - 1) Mesh refinement / spectral domains
  - 2) Singularity handling / excision
  - 3) Parallelization
- Construct realistic initial data
- Start evolution and waaaaiiiit...
- Extract physics from the data

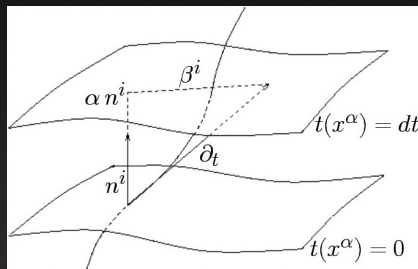
# 3+1 Decomposition

- GR: “Space and time exist as a unity: **Spacetime**”
- NR: ADM 3+1 split    Arnowitt, Deser & Misner '62  
York '79, Choquet-Bruhat & York '80

$$g_{\alpha\beta} = \left( \begin{array}{c|c} -\alpha^2 + \beta_m \beta^m & \beta_j \\ \hline \beta_i & \gamma_{ij} \end{array} \right)$$

- **3-Metric**     $\gamma_{ij}$
- **Lapse**     $\alpha$
- **Shift**     $\beta^i$

- lapse, shift  $\Rightarrow$  **Gauge**



# ADM Equations

The Einstein equations  $R_{\alpha\beta} = 0$  become

- 6 Evolution equations

$$(\partial_t - \mathcal{L}_\beta)\gamma_{ij} = -2\alpha K_{ij}$$

$$(\partial_t - \mathcal{L}_\beta)K_{ij} = -D_i D_j \alpha + \alpha[R_{ij} - 2K_{im}K^m_j + K_{ij}K]$$

- 4 Constraints

$$R + K^2 - K_{ij}K^{ij} = 0$$

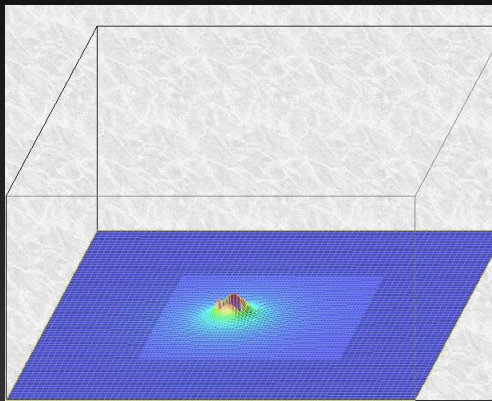
$$-D_j K^{ij} + D^i K = 0$$

preserved under evolution!

- Evolution

1) Solve constraints

2) Evolve data





# GR specific problems

- Initial data must satisfy **constraints**  
⇒ Numerical solution of **elliptic PDEs**  
E. g. **Puncture data** Brandt & Brügmann '97
- **Formulation** of the Einstein equations
- Coordinates are constructed ⇒ **Gauge conditions**
- Different length scales ⇒ **Mesh refinement**
- Extremely long equations ⇒ **Turnover time**
- Interpretation of the results? What is “Energy”, “Mass”?

Gourgoulhon gr-qc/0703035,

Alcubierre '07

# Formulations I: BSSN

- One can easily change variables. E. g. **wave equation**

$$\begin{aligned} \partial_{tt} u - c \partial_{xx} u = 0 & \quad \Leftrightarrow \quad \partial_t F - c \partial_x G = 0 \\ & \quad \quad \quad \partial_x F - \partial_t G = 0 \end{aligned}$$

- BSSN**: rearrange degrees of freedom

$$\begin{aligned} \chi &= (\det \gamma)^{-1/3} & \tilde{\gamma}_{ij} &= \chi \gamma_{ij} \\ K &= \gamma_{ij} K^{ij} & \tilde{A} &= \chi (K_{ij} - \frac{1}{3} \gamma_{ij} K) \\ \tilde{\Gamma}^i &= \tilde{\gamma}^{mn} \tilde{\Gamma}_{mn}^i = -\partial_m \tilde{\gamma}^{im} \end{aligned}$$

Shibata & Nakamura '95,

Baumgarte & Shapiro '98

# Formulations I: BSSN

$$ds^2 = -\alpha^2 dt^2 + \gamma_{ij}(dx^i + \beta^i dt)(dx^j + \beta^j dt)$$

$$\begin{aligned} \phi &= \frac{1}{12} \ln \gamma & \hat{\gamma}_{ij} &= e^{-4\phi} \gamma_{ij} \\ K &= \gamma_{ij} K^{ij} & \hat{A}_{ij} &= e^{-4\phi} \left( K_{ij} - \frac{1}{3} \gamma_{ij} K \right) \\ \hat{\Gamma}^i &= \gamma^{ij} \hat{\Gamma}_{jk}^i = -\partial_j \hat{\gamma}^{ij} \end{aligned}$$

$$(\partial_t - \mathcal{L}_\beta) \hat{\gamma}_{ij} = -2\alpha \hat{A}_{ij}$$

$$(\partial_t - \mathcal{L}_\beta) \phi = -\frac{1}{6} \alpha K$$

$$(\partial_t - \mathcal{L}_\beta) \hat{A}_{ij} = e^{-4\phi} (-D_i D_j \alpha + \alpha R_{ij})^{\text{TF}} + \alpha (K \hat{A}_{ij} - 2 \hat{A}_{ik} \hat{A}^k_j)$$

$$(\partial_t - \mathcal{L}_\beta) K = -D^i D_i \alpha + \alpha (\hat{A}_{ij} \hat{A}^{ij} + \frac{1}{3} K^2)$$

$$\begin{aligned} \partial_t \hat{\Gamma}^i &= 2\alpha (\hat{\Gamma}_{jk}^i \hat{A}^{jk} + 6 \hat{A}^{ij} \partial_j \phi - \frac{2}{3} \hat{\gamma}^{ij} \partial_j K) - 2 \hat{A}^{ij} \partial_j \alpha + \hat{\gamma}^{jk} \partial_j \partial_k \beta^i \\ &\quad + \frac{1}{3} \hat{\gamma}^{ij} \partial_j \partial_k \beta^k + \beta^j \partial_j \hat{\Gamma}^i + \frac{2}{3} \hat{\Gamma}^i \partial_j \beta^j \quad \underbrace{- (\chi + \frac{2}{3}) (\hat{\Gamma}^i - \hat{\gamma}^{jk} \hat{\Gamma}_{jk}^i) \partial_l \beta^l}_{\text{Yo et al. (2002)}} \end{aligned}$$

## Formulations II: Generalized harmonic (GHG)

- **Harmonic gauge:** choose coordinates such that

$$\nabla_{\mu} \nabla^{\mu} x^{\alpha} = 0$$

- **4-dim.** version of Einstein equations

$$R_{\alpha\beta} = -\frac{1}{2} g^{\mu\nu} \partial_{\mu} \partial_{\nu} g_{\alpha\beta} + \dots$$

Principal part of wave equation

- **Generalized harmonic gauge:**  $H_{\alpha} \equiv g_{\alpha\nu} \nabla_{\mu} \nabla^{\mu} x^{\nu}$

$$\Rightarrow R_{\alpha\beta} = -\frac{1}{2} g^{\mu\nu} \partial_{\mu} \partial_{\nu} g_{\alpha\beta} + \dots - \frac{1}{2} (\partial_{\alpha} H_{\beta} + \partial_{\beta} H_{\alpha})$$

Still principal part of wave equation !!!

# The gauge in GHG

- Relation between  $H_\alpha$  and lapse  $\alpha$  and shift  $\beta^i$ :

$$H_\mu n^\mu = -K - \frac{1}{\alpha^2} (\partial_0 \alpha - \beta^i \partial_i \alpha)$$

$$\perp^i{}_\mu H^\mu = \frac{1}{\alpha} \gamma^{ik} \partial_k \alpha + \frac{1}{\alpha^2} (\partial_0 \beta^i - \beta^k \partial_k \beta^i) - \gamma^{mn} \Gamma_{mn}^i$$

- Auxiliary constraint

$$C_\gamma \equiv H_\gamma - \Gamma_{\mu\gamma}^\mu + g^{\mu\nu} \partial_\mu g_{\nu\gamma}$$

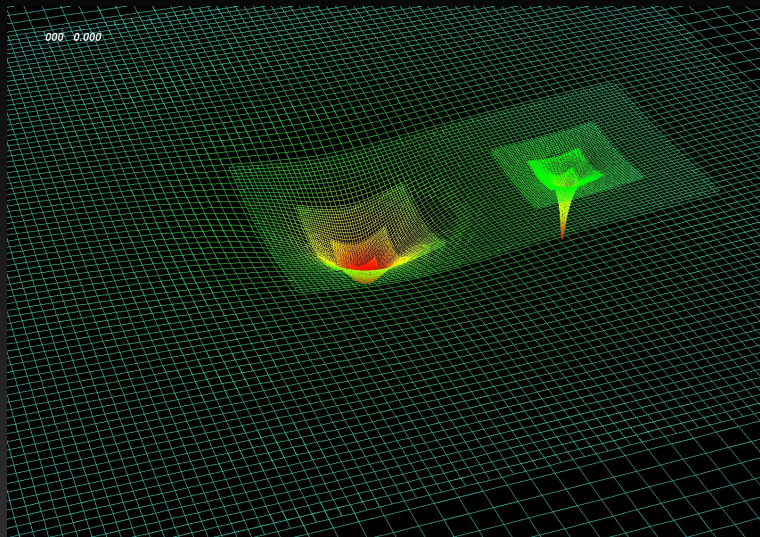
Requires constraint **damping**

Gundlach *et al.* '05

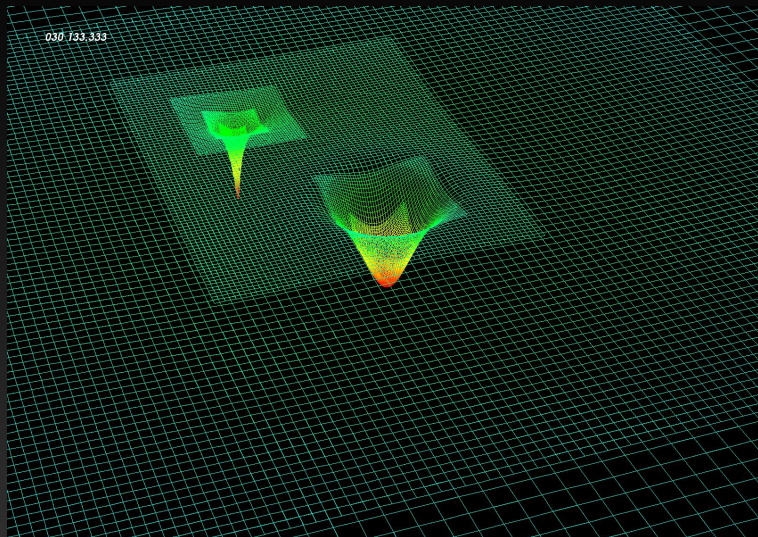
# The gauge freedom

- **Remember:** Einstein equations say nothing about  $\alpha$ ,  $\beta^i$
- **Any choice** of lapse and shift gives a solution
- This represents the **coordinate freedom** of GR
- Physics do not depend on  $\alpha$ ,  $\beta^i$   
So why bother?
- The performance of the **numerics DO depend** strongly on the gauge!
- How do we get good gauge?  
**Singularity avoidance, avoid coordinate stretching, well posedness**

# What goes wrong with bad gauge?

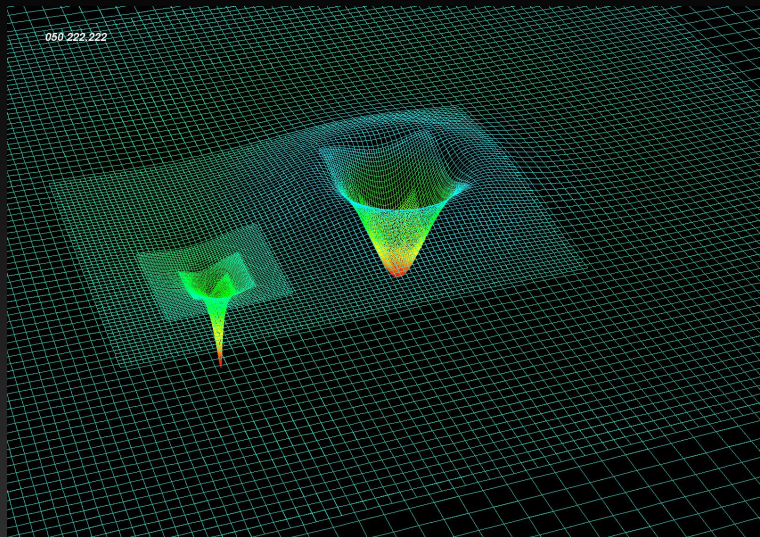


# What goes wrong with bad gauge?

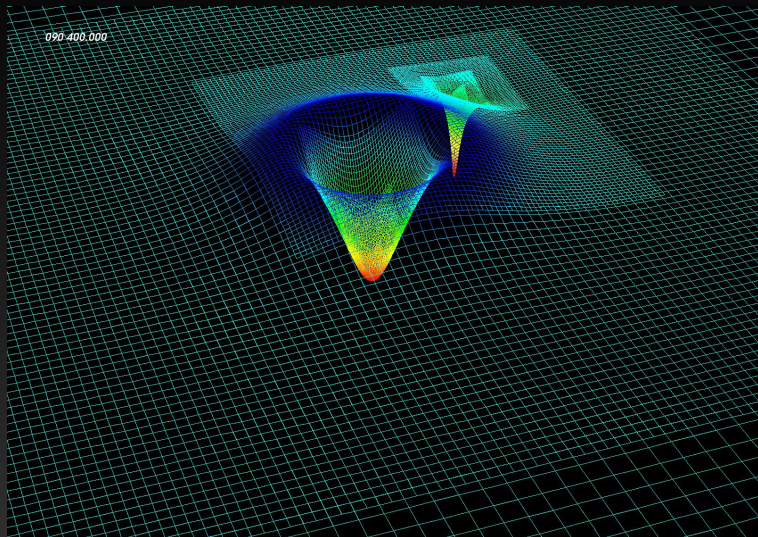




# What goes wrong with bad gauge?



# What goes wrong with bad gauge?



# Ingredients for good gauge

- Singularity avoidance
- Avoid **slice stretching**
- Aim at stationarity in comoving frame
- Well posedness of system
- Generalize “good” gauge, e .g. **harmonic**
- Lots of good luck!

Bona & Massó '95,

AEI: Alcubierre *et al.* 00s,

Alcubierre '03,

Garfinkle '04, Pretorius '05

# Initial data

Two problems: Constraints, realistic data

- Rearrange degrees of freedom

York-Lichnerowicz split:  $\gamma_{ij} = \psi^4 \tilde{\gamma}_{ij}$

$$K_{ij} = A_{ij} + \frac{1}{3} \gamma_{ij} K$$

York & Lichnerowicz, O'Murchadha & York,

Wilson & Mathews, York

- Make simplifying assumptions

Conformal flatness:  $\tilde{\gamma}_{ij} = \delta_{ij}$

- Find good elliptic solvers

# Two families of initial data

- Generalized analytic solutions:

Isotropic Schwarzschild  $ds^2 = \frac{M-2r}{M+2r} dt^2 + \left(1 + \frac{M}{2r}\right)^4 (dr^2 + r^2 d\Omega)$

⇒ Time-symmetric N holes      Brill & Lindquist, Misner '60s

⇒ Spin, Momenta                      Bowen & York '80

⇒ Punctures                              Brandt & Brügmann '97

- Excision data: horizon boundary conditions

Meudon Group, Pfeiffer, Ansorg

- Remaining problems: 1) junk radiation

2) We often want zero eccentricity

# Mesh refinement

3 Length scales :	BH	$\sim 1 M$
	Wavelength	$\sim 10 \dots 100 M$
	Wave zone	$\sim 100 \dots 1000 M$

- Critical phenomena

Choptuik '93

- First used for BBHs

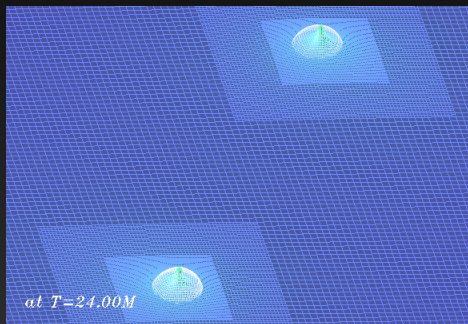
Brügmann '96

- Available Packages:

Paramesh MacNeice *et al.* '00

Carpet Schnetter *et al.* '03

SAMRAI MacNeice *et al.* '00



# Singularity treatment

- **Cosmic censorship**  $\Rightarrow$  horizon protects outside

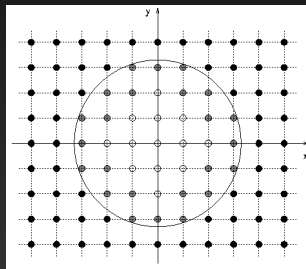
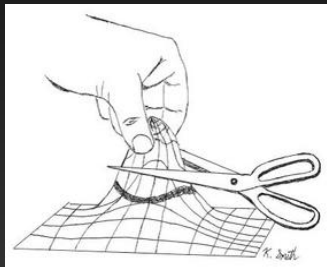
- We get away with it...

## Moving Punctures

UTB, NASA Goddard '05

- **Excision:** Cut out region around singularity

Caltech-Cornell, Pretorius



# Extracting physics I: Global quantities

- **ADM mass:** Total energy of the spacetime

$$M_{\text{ADM}} = \frac{1}{16\pi} \lim_{r \rightarrow \infty} \int_{S_r} \sqrt{\gamma} \gamma^{ij} \gamma^{kl} (\partial_j \gamma_{ik} - \partial_k \gamma_{ij}) dS_l$$

- **Total angular momentum** of the spacetime

$$P_i = \frac{1}{8\pi} \lim_{r \rightarrow \infty} \int_{S_r} \sqrt{\gamma} (K^m{}_i - \delta^m{}_i K) dS_m$$

$$J_i = \frac{1}{8\pi} \epsilon_{il}{}^m \lim_{r \rightarrow \infty} \int_{S_r} \sqrt{\gamma} x^l (K^n{}_m - \delta^n{}_m K) dS_n$$

By construction all of these are **time independent !!**



## Extracting physics II: Local quantities

- Often impossible to define!!
- **Isolated horizon** framework Ashtekar *et al.*
  - Calculate **apparent horizon** → Irreducible mass, momenta associated with horizon

$$M_{\text{irr}} = \sqrt{\frac{A_{\text{AH}}}{16\pi}}$$

- **Total BH mass** Christodoulou

$$M^2 = M_{\text{irr}}^2 + \frac{S^2}{4M_{\text{irr}}^2} + P^2$$

- **Binding energy** of a binary:  $E_b = M_{\text{ADM}} - M_1 - M_2$

# Extracting physics III: Gravitational Waves

- Most important diagnostic: **Emitted GWs**

- Newman-Penrose scalar

$$\Psi_4 = C_{\alpha\beta\gamma\delta} n^\alpha \bar{m}^\beta n^\gamma \bar{m}^\delta$$

Complex  $\Rightarrow$  2 free functions

- GWs allow us to measure

→ Radiated energy  $E_{\text{rad}}$

→ Radiated momenta  $P_{\text{rad}}, J_{\text{rad}}$

→ Angular dependence of radiation

→ Gravitational wave strain  $h_+, h_\times$

# Angular dependence of GWs

- Waves are normally extracted at fixed radius  $r_{\text{ex}}$

$$\Rightarrow \Psi_4 = \Psi_4(t, \theta, \phi)$$

$\theta, \phi$  are viewed from the source frame!

- Decompose angular dependence using spherical harmonics

$$\Psi_4 = \sum_{\ell, m} \psi_{\ell m}(t) Y_{\ell m}^{-2}(\theta, \phi)$$

Modes  $\psi_{\ell m}(t) = A_{\ell m}(t) \times e^{i\phi(t)}$

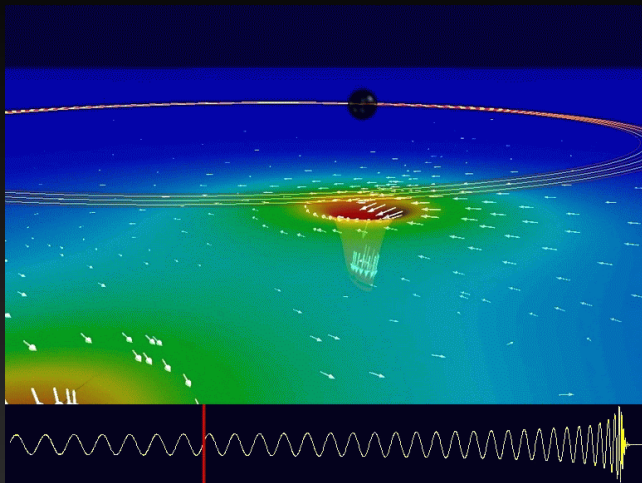
Spin-weighted spherical harmonics  $Y_{\ell m}^{-2}$

# 4. Results

# 4.1. A brief history

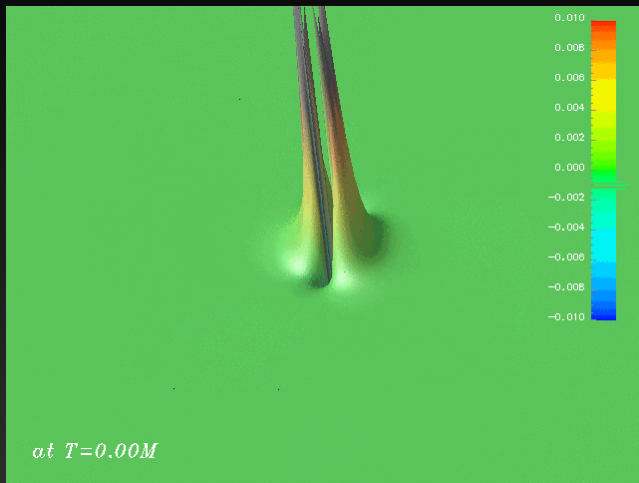


# Animations: BBH inspiral



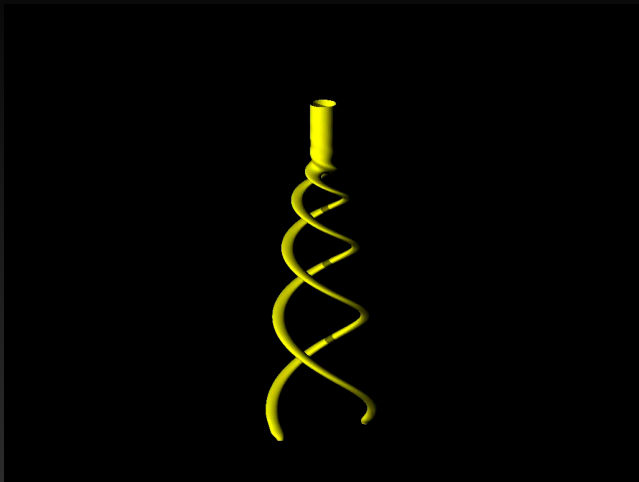
Thanks to Caltech, CITA, Cornell

# Animations: The GW signal





# Animations: The event horizon



Thanks to Marcus Thierfelder, Jena